

Field Set: Name Screening

List Screening (36 matches found)

				king enterprises					Business			
	No.	Decision	Score	Name	Date of Birth	ID Numbers	Address	Country	Entity Type	Automatic False Positive Match	Segments	Place of Birth
▼	1	  	100	✓ King Enterprise		10120925 (Proprietary UID) 155075353 (LexID)	United States	United States	✓ Business		Enforcements (2)	

King Enterprise

Profile ID: 10120925



Profile Data ▼

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

✓ Business

Match

Name

king enterprises

✓ King Enterprise (Business)

100

ID Numbers

10120925 (Proprietary UID)

155075353 (LexID)

United States (Country)

LexisNexis (Issuer)

LexID (Label)

Address

United States (Associated)

Screening List ▼

Screening List

Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

04/07/2019

Entity Last

Updated

08/02/2022

Position

Criminal Organization

Entity Status

Not Applicable

Segments ▼

Country

Subcategory

Source

Enforcements

United States

Drug Trafficking
Organized Crime

US-United States Attorney - Southern District of Florida

United States

Drug Trafficking
Organized Crime

US-U.S. Drug Enforcement Administration

Relationships (6) ▼

Entity Name

Relationship Group

Type

Ownership Percentage

Segment

Evans, Corey	Associations	Associate		Enforcement
Harris, Keyon Demetriu	Associations	Associate		Enforcement
King, Tedrick Terrell	Associations	Associate		Enforcement
McCollur, Christopher	Associations	Associate		Enforcement
Nottage, Wilhemnia Russaw	Associations	Associate		Enforcement
Webster, Jonis Jermaine	Associations	Associate		Enforcement

Comments ▾

According to the United States Attorney - Southern District of Florida and U.S. Drug Enforcement Administration; July 2, 2019: The Criminal Complaint arises from a joint investigation conducted by the FBI, DEA, and MDPD involving Ted King and his criminal associates (King Enterprise) including the charged defendants, for crimes including narcotics distribution and money laundering. law enforcement officers have conducted approximately 30 controlled narcotics purchases from the King Enterprise, including cocaine, marijuana, and Molly and believes that the King Enterprise is the largest current source of cocaine distribution in the neighborhood of Perrine, located in the Southern District of Florida. The investigation has revealed that the King Enterprise utilizes multiple locations to distribute narcotics, store narcotics, and conceal the proceeds of the narcotics sales.

Record Sources (3) ▾

<https://www.dea.gov/press-releases/2019/07/02/five-perrine-residents-arrested-their-involvement-drug-trafficking>

<https://www.miamiherald.com/news/local/community/miami-dade/homestead/article232207672.html>

<https://www.justice.gov/usao-sdfl/pr/five-perrine-residents-arrested-their-involvement-drug-trafficking-network>

▼	2	  	100	 King Enterprises		8172479 (Proprietary UID)	Mandi Bahauddin District Malakwal Punjab Pakistan Pakistan	Pakistan	 Business		Adverse Media (1)	
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King Enterprises

Profile ID: 8172479



Profile Data ▾

	Input Data	Profile Data	Search Core Analysis
Entity Type	Business	 Business	Match
Name			
king enterprises			
 King Enterprises (Business)			
100			
ID Numbers			
8172479 (Proprietary UID)			
Address			
Mandi Bahauddin District Malakwal Punjab Pakistan (Associated)			
Pakistan (Associated)			

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	28/04/2017
Entity Last Updated	05/10/2018
Position	
Entity Status	Not Applicable

Segments ▾

Description	
Subcategory	
	Adverse Media
Incident	

Relationships (2) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
Ahmed, Iftikhar	Associations	Owner		AdverseMedia
Ahmed, Sheeraz	Associations	Owner		AdverseMedia

Comments ▾

According to the [tribune.com.pk](#); January 05, 2014: In January, 2014, Iftikhar Ahmed and Sheeraz Ahmed owners of King Enterprises, a front for a hawala/hundi business, were charged with allegedly running illegal foreign currency exchange businesses and hawala/hundi (illegal financial instruments used in remittances and credit transactions). They were arrested by Federal Investigation Agency (FIA) Punjab and seized PKR 1,700,000 and an undisclosed amount of foreign currency from the office. They failed to produce a licence for currency exchange.

Record Sources (1) ▾

<https://tribune.com.pk/story/654992/crackdown-launched-eight-arrested-for-running-illegal-currency-exchange/>

▼	3	? ✕ ✓	99	✓ King Spa		10331756 (Proprietary UID)	13104 State Line Road Leawood Kansas United States 1117 Central Ave Billings Montana 59102 United States ...	United States	✓ Business		Enforcements (2)	
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King Spa

Profile ID: 10331756



Profile Data ▾

Input Data	Profile Data	Search Core Analysis
Entity Type Business	✓ Business	Match
Name		
king enterprises		
✓ King Spa (Business)		
99		
ID Numbers		
10331756 (Proprietary UID)		
Address		
13104 State Line Road Leawood Kansas United States (Business)		
1117 Central Ave Billings Montana 59102 United States (Business)		
United States (Associated)		

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	04/11/2019
Entity Last Updated	09/11/2020
Position	Vehicle of fraud.
Entity Status	Not Applicable

Segments ▾

Country	
Subcategory	
Source	
Enforcements	
United States	

Fraud

US-United States Attorney - District of Kansas

United States

Fraud

US-United States Attorney - District of Montana

Relationships (3) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
Wu, Chungui	Associations	Associate		Enforcement
Petrie, Scot Donald	Associations	Owner		Enforcement
A-Spa	Companies	AffiliatedCompany		Enforcement

Comments ▾

According to United States Attorney - District of Kansas; August 31, 2020: Chungui Wu operated three massage parlors: Alpha Massage at 116 S. Clairborne, #A, in Olathe, Kan.; A Plus Massage at 527 N. Mur-Len Road, in Olathe, Kan.; and King Spa at 13104 State Line Road in Leawood, Kan. Chungui Wu's plea agreement says investigators worked with an undercover confidential source who contacted Wu and asked for a job working in Wu's massage parlors. Wu made statements indicating she allowed her employees to perform sexual services for tips. Other investigators working undercover confirmed that employees in Wu's massage parlors were offering sexual services to customers.

According to the US-United States Attorney - District of Montana; October 31, 2019: Beginning in June 2014, Scot Donald Petrie helped operate King Spa and A-Spa, which permitted individuals to live at both locations to provide legitimate message services and illegal commercial sexual services. Petrie was aware of the operations and services being provided. King Spa temporarily closed in December 2018, and Petrie helped re-open the spa to provide the services.

In January 2019, Petrie bought a bus ticket for a woman to travel from Las Vegas to Billings to live and work at A-Spa. Because of a missed connection, Petrie went to Butte to pick up the woman to transport her to Billings. The woman told law enforcement Petrie had contacted her while she was in Nevada and asked her to come to Montana. The woman said Petrie offered to pay for her bus ticket and gave her condoms when she arrived in Billings. The woman provided commercial sexual services, including intercourse, while staying at the spa, and paid Petrie half of her earnings.

Record Sources (7) ▾

<https://eu.greatfalltribune.com/story/news/2019/07/19/montana-spa-owner-pleads-guilty-sex-worker-case/1783295001/>

<https://www.justice.gov/usao-ks/pr/indictment-woman-who-ran-massage-parlors-allowed-employees-perform-sexual-services>

<https://www.justice.gov/usao-ks/pr/massage-parlor-owner-pleads-guilty-federal-prostitution-charge>

https://www.abcfxmontana.com/regional/former-king-spa-operator-sentenced-to-years-for-sex-trafficking/article_4d486eff-22b6-5010-82b6-5eec9fc6433d.html

<https://www.justice.gov/usao-mt/pr/former-billings-massage-parlor-operator-sentenced-prison-transporting-woman-commercial>

https://www.kulr8.com/news/billings-man-charged-with-coercing-women-to-provide-commercial-sex/article_7515899c-609f-11e9-97a1-db498335bd2b.html

<https://www.kxlf.com/news/local-news/ex-billings-massage-parlor-operator-sentenced-for-sex-trafficking>

▼	4	  	98	Southern Care, Inc. ✓ King & Associates, Inc. (AKA)		1420969 (Proprietary UID)	United States	United States	✓ Business		Enforcements (2)	
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Southern Care, Inc.

Profile ID: 1420969



Profile Data ▾

Input Data	Profile Data	Search Core Analysis
Entity Type Business	✓ Business	Match

king enterprises	Name
Southern Care, Inc. (Business)	
✓ King & Associates, Inc. (AKA)	
98	
	ID Numbers
1420969 (Proprietary UID)	
	Address
United States (Associated)	

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	02/06/2008
Entity Last Updated	11/06/2024
Position	Sentenced to jointly pay USD 1,448,174 for healthcare fraud - August 07, 2009.
Entity Status	Not Applicable

Segments ▾

Country
Subcategory
Source

	Enforcements
United States	
Healthcare Fraud	
US-U.S. Federal Bureau of Investigation	
United States	
Healthcare Fraud	
US-United States Attorney - Northern District of Alabama	

Relationships (2) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
King, Marie Weller	Associations	Associate		Enforcement
King, William Lloyd	Associations	Associate		Enforcement

Comments ▾

According to United States Attorney - Northern District of Alabama; August 07, 2009: An investigation launched by a whistleblower complaint resulted on August 07, 2009, in the settlement of a civil healthcare fraud case and a USD 1,448,174 judgment against William "Bill" King Jr., Marie King, King & Associates, Inc., and SouthernCare, Inc., announced U.S. Attorney Joyce White Vance, the FBI and the U.S. Department of Health and Human Services. Marie King, a registered nurse, managed, directed and operated King & Associates, Inc., which was in the business of providing consulting services to skilled nursing facilities in Alabama and other southeastern states. Bill King, an accountant, managed, directed and operated SouthernCare, Inc., doing business as King and Associates. SouthernCare was engaged in the business of preparing Medicare cost reports for skilled nursing facilities, including some of the skilled nursing facilities which used the services provided by King & Associates.

According to United States Attorney - Northern District of Alabama and U.S. Federal Bureau of Investigation; May 28, 2008: United States Attorney Alice H. Martin on May 28, 2008, announced that WILLIAM LLOYD KING, JR., 63, and MARIE WELLER KING, 62, both of Birmingham, have each agreed to plead guilty by Informations to a federal crime involving Medicare Fraud.

MARIE WELLER KING, a registered nurse, managed, directed, and operated King & Associates, Inc. which was in the business of providing consulting services to skilled nursing facilities in Alabama and other states. WILLIAM LLOYD KING, JR., an accountant, managed, directed, and operated Southern Care, Inc. d/b/a King and Associates. Southern Care, Inc. was engaged in the business of preparing Medicare cost reports for skilled nursing facilities including some of the skilled nursing facilities which used the services provided by King & Associates, Inc. Medicare guidelines state that only payments made to physicians for their services on utilization review ("UR") committees are allowable as costs on a cost report for a skilled nursing facility. Accordingly, only payments made to physicians for their services on UR committees are allowable as costs. Non-physician compensation associated with UR services are allowable only as part of the administrative costs of the skilled nursing facility and must be allocated as such. From approximately January 2002 until approximately December 2006, WILLIAM KING and MARIE KING (husband and wife) devised a scheme which resulted in certain skilled nursing facilities submitting false cost reports to Medicare. These cost reports contained inflated physicians costs for UR services in order to obtain greater Medicare reimbursements.

Record Sources (7) ▾

https://archives.fbi.gov/archives/birmingham/press-releases/2009/bh020409.htm
http://www.usdoj.gov/usao/aln/Docs/August%202009/AUGUST%207,%202009%20Civil%20Healthcare%20Fraud%20Allegations%20Settled%20for%20\$1.4%20million.htm
http://birmingham.fbi.gov/dojpressrel/pressrel08/bh052808a.htm
https://members.worldcompliance.com/Article.aspx?id=5fd1c6c6-40d4-4f9e-8d22-a1f1b257b6c0
https://members.worldcompliance.com/Article.aspx?id=ccf56f76-0931-4242-b0e8-1a53e5c6dee3
https://members.worldcompliance.com/Article.aspx?id=0ea29f41-c7b9-4b4c-90a1-c0f3a265ab11
http://www.usdoj.gov/usao/aln/Docs/May%202008/MAY%2028,%202008%20HUSBAND%20AND%20WIFE%20TO%20PLEAD%20TO%20MEDICARE%20FRAUD.htm

▼	5	  	93	Duke & Co., Inc. ✓ King Securities (AKA)		925259 (Proprietary UID) 8-24174 (SEC Central Index Key) ...	909 Third Avenue 7th Floor New York New York 10022 United States	United States	✓ Business		Enforcements (2)
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Profile Data

Input Data		Profile Data	Search Core Analysis
Entity Type	Business	✔ Business	Match

king enterprises		Name
Duke & Co., Inc. (Business)		
✔ King Securities (AKA, Basic Latin)		
Elliot, Allen & Co., Inc. (AKA, Basic Latin)		
93		

		ID Numbers
925259 (Proprietary UID)		
8-24174 (SEC Central Index Key)		
United States (Country)		
United States Securities and Exchange Commission (Issuer)		
SEC Central Index Key (Label)		
59-1920782 (EIN)		
United States (Country)		
Internal Revenue Service (Issuer)		
FEIN/EIN (Label)		
624525 (Business Registration Number)		
United States (Country)		
Florida Department of State, Division of Corporations (Issuer)		
Business Registration Number (Label)		
45729383 (LexID)		
United States (Country)		
LexisNexis (Issuer)		
LexID (Label)		
8035 (Central Registration Depository)		
United States (Country)		
Financial Industry Regulatory Authority (Issuer)		
Central Registration Depository (CRD) (Label)		

		Address
909 Third Avenue 7th Floor New York New York 10022 United States (Business)		
United States (Headquarters)		

Screening List

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	04/08/2006
Entity Last Updated	19/05/2025
Position	Suspended from NASD membership for failure to comply with provisions of NASD Rule - July 02, 1998.
Entity Status	Not Applicable

Segments ▾

Country

Subcategory

Source

Enforcements

United States

Disciplined

US –Financial Industry Regulatory Authority

United States

Disciplined

US-Ohio Department of Commerce

Relationships (6) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
Rosenberg, Lawrance Allan	Associations	Associate		Enforcement
Saporito, Salvatore	Associations	Associate		Enforcement
Bennett, Charles Thornton	Associations	KeyMember		Enforcement
Honigman, Jeffrey S.	Associations	KeyMember		Enforcement
Thaler, Gregg Adam	Associations	KeyMember		Enforcement
Wang, Victor Ming	Associations	KeyMember		Enforcement

Comments ▾

According to United States Financial Industry Regulatory Authority; August 17, 1998: On July 02, 1998, Duke & Co., Inc. was suspended from membership in the NASD for failure to comply with formal written requests to submit financial information to the NASD. The actions were based on the provisions of NASD Rule 8210 and Article VII, Section 2 of the NASD By-Laws.

According to United States Financial Industry Regulatory Authority; July 02, 1998: On May 29, 1998, Duke & Co., Inc. was suspended from membership in the NASD for failure to comply with formal written requests to submit financial information to the NASD.

According to United States Ohio Department of Commerce; July 1998: Published on that date, the Ohio Division of Securities is charged with the responsibility of protecting investors and finds that this order is necessary or appropriate in the public interest or for the protection of investors and is consistent with the purposes of the Ohio Securities Act, Chapter 1707 of the Ohio Revised Code. The Division has conducted an investigation into the activities of Duke & Co., Inc. as a result of said investigation, the Division finds that Duke has failed to meet the requirements of the provisions of Administrative Code Rules 1301:6-3-19(A)(3), 1301:6-3-19(A)(15), 1301:6-3-19(B)(9) and 1301:6-3-19(D)(1), (2), (7), (8) and (9), thereby violating Revised Code sections 1707.19(A) and 1707.19(I). THEREFORE, IT IS ORDERED THAT, pursuant to Revised Code sections 1707.19 and 1707.23(D), the license of Duke & Co., Inc., Ohio Securities Dealer License No. 158416, is hereby REVOKED.

According to United States Financial Industry Regulatory Authority; May 19, 1997: Published on that date, Duke & Co, Inc. (New York, New York), Lawrance A. Rosenberg (Registered Principal, Brooklyn, New York), and Salvatore Saporito (Registered Representative, Brooklyn, New York). The firm and Saporito submitted an Offer of Settlement pursuant to which the firm was fined USD 25,000 and ordered to implement supervisory procedures. Saporito was fined USD 25,000 and suspended from association with any NASD member in any capacity for six months. In a separate decision, Rosenberg was fined USD 5 million and barred from association with any NASD member in any capacity. Without admitting or denying the allegations, the firm and Saporito consented to the described sanctions and to the entry of findings that the firm, acting through Saporito and Rosenberg, manipulated trading in a security that created actual and apparent active trading in the security and raised the price of the security for the purpose of inducing the purchase or sale of the security by others. The findings also stated that the firm, acting through Saporito and Rosenberg, actively bid for, purchased, and solicited securities while the firm was acting as broker or dealer participating in a distribution of securities. Furthermore, the NASD determined that the firm and Rosenberg failed to establish and maintain an effective supervisory system and failed to enforce supervisory procedures.

Record Sources (14) ▾

https://files.brokercheck.finra.org/firm/firm_8035.pdf

<https://members.worldcompliance.com/Article.aspx?id=4edec61e-13fc-4de2-9f55-1148cb154807>

<http://www.securities.state.oh.us>

<https://members.worldcompliance.com/Article.aspx?id=77078541-9ad3-44f7-8810-1e9555ff0cfc>

<http://brokercheck.finra.org/Firm/Summary/8035>

<https://search.sunbiz.org/Inquiry/corporationsearch/SearchResultDetail?inquiryType=EntityName&directionType=Initial&searchNameOrder=DUKE%206245252&aggregateId=domp-624525-713e2268-213e-4205-af9d-6f91ad3ed67e&searchTerm=DUKE%20&listNameOrder=DUKE%201271410>

<https://brokercheck.finra.org/firm/summary/8035>

<https://search.sunbiz.org/Inquiry/corporationsearch/SearchResults?inquiryType=EntityName&searchTerm=DUKE%20&%20DUCHESS%20PET%20GROOMING,%20LLC>

<http://www.finra.org/sites/default/files/DisciplinaryAction/p007557.pdf>

<https://members.worldcompliance.com/Article.aspx?id=34fde4ba-6832-4e4e-85f2-42305b61483a>

<http://www.finra.org/sites/default/files/DisciplinaryAction/p007556.pdf>

<http://www.finra.org/sites/default/files/DisciplinaryAction/p007587.pdf>

▼	6	? x ✓	93	✓ King Bio. Inc		8731667 (Proprietary UID) 82153804 (LexID)	3 Westside Dr Asheville North Carolina 28806- 2846 United States United States	United States	✓ Business		Enforcements (1)	
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King Bio. Inc

Profile ID: 8731667

Profile Data ▼

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

✓ Business

Match

Name

king enterprises

✓ King Bio. Inc (Business)
www.drkings.com (AKA, Basic Latin)
93

ID Numbers

8731667 (Proprietary UID)
82153804 (LexID)
United States (Country)
LexisNexis (Issuer)
LexID (Label)

Address

3 Westside Dr Asheville North Carolina 28806-2846 United States (Business)
United States (Headquarters)

Screening List ▼

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

01/02/2018

Entity Last Updated

08/02/2022

Position

Warning letter issued for illegally marketing products - January 11, 2018.

Entity Status

Not Applicable

Segments ▼

Country

Subcategory

Source

Enforcements

United States

Administrative

US-U.S. Federal Trade Commission

Comments ▼

According to the U.S. Federal Trade Commission; January 24, 2018: On January 11, 2018, The Federal Trade Commission and the U.S. Food and Drug Administration posted warning letters to King Bio. Inc. for illegally marketing products with unproven claims about their ability to help in the treatment of opioid addiction and withdrawal.

The products have not been demonstrated to be safe or effective and may keep some patients from seeking appropriate, FDA-approved therapies. Selling these

unapproved products with claims that they can treat opioid addiction and withdrawal is a violation of the Federal Food, Drug, and Cosmetic Act. Making unsubstantiated therapeutic claims is also a violation of the Federal Trade Commission Act, which prohibits deceptive advertising.

Record Sources (3) ▾

https://www.ftc.gov/system/files/attachments/ftc-us-fda-warning-letters-january-2018/king_bio_inc_warning_letter_final_1-11-18.pdf

<https://www.bloomberg.com/research/stocks/private/snapshot.asp?privcapid=114335629>

<https://www.ftc.gov/news-events/press-releases/2018/01/ftc-fda-warn-companies-about-marketing-selling-opioid-cessation>

▼	7	  	93	 King Capital Group		8280714 (Proprietary UID)	Canada	Canada	 Business		Enforcements (1)	
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King Capital Group

Profile ID: 8280714



Profile Data ▾

Input Data		Profile Data	Search Core Analysis
Entity Type	Business	 Business	Match
Name			
king enterprises			
 King Capital Group (Business)			
93			
ID Numbers			
8280714 (Proprietary UID)			
Address			
Canada (Associated)			

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	29/06/2017
Entity Last Updated	14/12/2023
Position	Unauthorized by the Financial Services Commission of Ontario to provide financial services - October 26, 2011.
Entity Status	Not Applicable

Segments ▾

Country	
Subcategory	
Source	
Enforcements	
Canada	
Unauthorized	
CA-Financial Services Commission of Ontario	

Comments ▾

According to the Canada Financial Services Commission of Ontario; October 26, 2011: The Financial Services Commission of Ontario (FSCO) is warning consumers that King Capital Group is not licensed to do mortgage brokering business in Ontario. If consumers arrange a mortgage from a mortgage brokerage, broker or agent that is not licensed in the province, they are not protected under the Mortgage Brokerages, Lenders and Administrators Act, 2006, which holds Ontario's mortgage brokerages, administrators, brokers and agents to specific standards.

Record Sources (2) ▾

<https://www.fsrao.ca/media/16266/download>

<https://www.fsrao.ca/newsroom/king-capital-group-not-licensed-do-mortgage-brokering-business-ontario>

King Construction

Profile ID: 13475127

Profile Data ▼

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

✓ Business

Match

Name

king enterprises

✓ King Construction (Business)

किंग कंस्ट्रक्शन (Devanagari)

93

ID Numbers

13475127 (Proprietary UID)

Address

India (Associated)

Screening List ▼

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

23/04/2024

Entity Last Updated

23/04/2024

Position

Accused of fraud - April 2024.

Entity Status

Not Applicable

Segments ▼

Description

Subcategory

Adverse Media

Incident

Forgery

Fraud

Conspiracy

Organized Crime

Relationships (5) ▼

Entity Name

Relationship Group

Type

Ownership Percentage

Segment

[Zakir, Mohammad](#)

Associations

Associate

AdverseMedia

[Green Construction](#)

Companies

AffiliatedCompany

AdverseMedia

[Jahanvi Enterprises](#)

Companies

AffiliatedCompany

AdverseMedia

[Kshitij Enterprises](#)

Companies

AffiliatedCompany

AdverseMedia

[Neev Construction](#)

Companies

AffiliatedCompany

AdverseMedia

Comments ▼

According to the timesofindia.indiatimes.com; April 23, 2024: In April 2024, King Construction was accused of fraud. The accused had duped Indore Municipal Corporation (IMC) of INR 280,000,000. The firms produced fake pay orders and forged documents to cause a potential loss of INR 280,000,000 to the civic body as part of the scam. The police has seized bank accounts of all these five firms, containing around INR 7,500,000.

Record Sources (6) ▼

<https://www.naidunia.com/madhya-pradesh/indore-indore-news-fake-bill-scandal-in-indore-municipal-corporation-other-urban-bodies-of-the-state-also-under-investigation-8304213>

<https://www.freepressjournal.in/indore/mp-panel-formed-to-probe-fake-bill-scam-in-indore-says-imc-commissioner>

<https://www.naidunia.com/madhya-pradesh/indore-indore-news-role-of-officials-in-indore-municipal-corporation-in-fake-bill-case-of-rs-28-crore-under-doubt-8302727>

<https://timesofindia.indiatimes.com/city/indore/fake-bill-scam-reward-declared-on-5-absconding-accused/articleshow/109514872.cms>
<https://www.freepressjournal.in/indore/fake-bill-scam-indore-municipal-corporation-blacklists-all-five-firms>
<https://timesofindia.indiatimes.com/city/indore/fir-against-five-firms-for-trying-to-dupe-imc-of-28-cr/articleshow/109386058.cms>

▼	9	? ✕ ✓	93	✓ King Distribution LLC		9661535 (Proprietary UID) 62603597947 (LexID)	281 Route 46 West Elmwood Park New Jersey 07407 United States 356 Getty Ave Building 6 Clifton New Jersey 07011 United States ...	United States	✓ Business		Enforcements (1)	
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King Distribution LLC

Profile ID: 9661535

Profile Data ▼

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

✓ Business

Match

Name

king enterprises

✓ King Distribution LLC (Business)
Lava Vape USA (DBA, Basic Latin)
<https://lavapods.com> (AKA, Basic Latin)

93

ID Numbers

9661535 (Proprietary UID)
62603597947 (LexID)
United States (Country)
LexisNexis (Issuer)
LexID (Label)

Address

281 Route 46 West Elmwood Park New Jersey 07407 United States (Business)
356 Getty Ave Building 6 Clifton New Jersey 07011 United States (Business)
United States (Associated)

Screening List ▼

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

07/01/2019

Entity Last Updated

23/04/2024

Position

Warning letter issued for manufacturing and selling tobacco products without required marketing authorization - September 14, 2023.

Entity Status

Not Applicable

Segments ▼

Country

Subcategory

Source

Enforcements

United States

Administrative

US-U.S. Food and Drug Administration

Relationships (1) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
Salim, Fadi	Associations	Associate		Enforcement

Comments ▾

According to United States Food and Drug Administration; September 14, 2023: On September 14, 2023 A Warning letter issued against Fadi Salim and King Distribution LLC d/b/a Lava Vape USA for manufacturing and selling tobacco products without required marketing authorization. The Center for Tobacco Products of the U.S. Food and Drug Administration (FDA) recently reviewed the website <https://lavapods.com> and determined that the electronic nicotine delivery system (ENDS) products listed there are offered for sale or distribution to customers in the United States. FDA has determined that King Distribution LLC firm markets new tobacco products lacking premarket authorization in the United States. All new tobacco products on the market without the statutorily required premarket authorization are marketed unlawfully and are subject to enforcement action at FDA's discretion.

FDA reviewed the website <https://lavapods.com> and revealed that King Distribution offered or distributed to customers in the United States ENDS products without a marketing authorization order, including: Lava 2 Pink Pod System, Lava 2 Pink Lemonade Pods, and Lava 2 Watermelon with Mint Pods. These ENDS products are new tobacco products because they were not commercially marketed in the United States as of February 15, 2007. These products do not have an FDA marketing authorization order in effect under section 910(c)(1)(A)(i) of the FD&C Act and are not otherwise exempt from the marketing authorization requirement. Therefore, these products are adulterated under section 902(6)(A) of the FD&C Act. In addition, these products are misbranded under section 903(a)(6) of the FD&C Act because a notice or other information respecting these products was not provided as required by section 905(j) of the FD&C Act.

Record Sources (4) ▾

https://usitc.gov/secretary/fed_reg_notices/337/337_1139_notice_12102018sgl.pdf

<https://uspto.report/TM/98347867>

<https://uspto.report/TM/88914773>

<https://www.fda.gov/inspections-compliance-enforcement-and-criminal-investigations/warning-letters/king-distribution-llc-dba-lava-vape-usa-667203-09142023>

▼	10	  	93	 King Energy Inc.	862809 (Proprietary UID)	477 Madison Ave 6th Floor New York New York 10022 United States 703 -6th Avenue S.W Suite 700 Calgary Alberta T2P 0T9 Canada ...	United States Canada	 Business		Enforcements (2)	
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King Energy Inc.

Profile ID: 862809



Profile Data ▾

Input Data	Profile Data	Search Core Analysis
Entity Type Business	 Business	Match

Name

king enterprises

 King Energy Inc. (Business)

King Capital Corporation (AKA, Basic Latin)

581740 Alberta Ltd (AKA, Basic Latin)

93

ID Numbers

862809 (Proprietary UID)

Address

477 Madison Ave 6th Floor New York New York 10022 United States (Business)

703 -6th Avenue S.W Suite 700 Calgary Alberta T2P 0T9 Canada (Business)

1005 Court Street Suite 210 San Luis Obispo California 93401 United States (Business)

1510, 510 - 5th Street. S.W Calgary Alberta T2P 3S2 Canada (Business)

835 Main Ave Suite 215 Durango Colorado 81301 United States (Business)

444 - 5th Avenue SW #1650 Calgary Alberta T2P 2T8 Canada (Business)

715 -5th Avenue S.W. Suite 610 Calgary Alberta 715 -5th Avenu Canada (Business)

1050, 444 - 5th Ave. S.W., Calgary Alberta Canada (Business)

610, 715 - 5th Ave. S.W Calgary Alberta T2P 2X6 Canada (Business)

700 - 2nd Street S.W. Suite 1200 Calgary Alberta T2P 4V5 Canada (Business)

Canada (Headquarters)

Screening List ▼

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	31/05/2006
Entity Last Updated	06/05/2022
Position	Cease trade order issued for failure to file the financial statements (September 01, 2004 - September 15, 2004).
Entity Status	Not Applicable

Segments ▼

Country	
Subcategory	
Source	
Enforcements	
Canada	
Disciplined	
CA-Alberta Securities Commission	
Canada	
Disciplined	
CA-Canadian Securities Administrators	

Comments ▼

According to CA-Alberta Securities Commission and CA-Canadian Securities Administrators; September 15, 2004: Cease Trade Order issued on September 1, 2004 against the securities of King Energy Inc. expired as of the close of business on September 15, 2004. According to CA-Alberta Securities Commission and CA-Canadian Securities Administrators; September 01, 2004: King Energy Inc.(the Company), is a reporting issuer under the Act, it appears the Company has failed to file with the Executive Director of the Alberta Securities Commission (Commission) the following financial statements: Annual audited financial statements for the year ended March 31, 2004 and first quarter interim unaudited financial statements for the period ended June 30, 2004 (collectively the Financial Statements). WHEREAS pursuant to subsection 22(4) of the Act, the Commission has made an Authorization Order dated October 12, 2000 (Authorization) authorizing the Directors as defined in the Authorization to grant orders under sections 33 and 198 of the Act; 4. AND WHEREAS the Deputy Director, Capital Markets, is of the opinion that to grant this order would not be prejudicial to the public interest and is satisfied in the circumstances of this particular case that there is adequate justification for so doing. NOW THEREFORE, the Deputy Director, Capital Markets, being of the opinion that the length of time required for a hearing could be prejudicial to the public interest, it is ordered that trading cease in respect of the securities of the Company for a period of 15 days from the date hereof unless this order is revoked or varied.
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Record Sources (13) ▼

https://www.sedar.com/GetFile.do?lang=EN&docClass=13&issuerNo=00014713&issuerType=03&projectNo=00517268&docId=1003811
http://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=E9D0F34C459847C68D8FA29A348736B0
http://www.albertasecurities.com/Notices%20Decisions%20Orders%20%20Rulings/Issuers/20040901_-_King_Energy_-_ICTO.pdf
https://www.sedar.com/DisplayProfile.do?lang=EN&issuerType=03&issuerNo=00014713
https://www.kingenergy.com/contact
https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=A57D4EE3F3DC4CD38AD7DA8592678218
https://www.sedar.com/GetFile.do?lang=EN&docClass=13&issuerNo=00014713&issuerType=03&projectNo=00375912&docId=726309
https://www.sedar.com/GetFile.do?lang=EN&docClass=13&issuerNo=00014713&issuerType=03&projectNo=00339656&docId=652383
https://www.qp.alberta.ca/documents/gazette/2003/text/1129_i.cfm
https://www.sedar.com/GetFile.do?lang=EN&docClass=2&issuerNo=00014713&issuerType=03&projectNo=00472808&docId=923466
https://members.worldcompliance.com/Article.aspx?id=929e72ab-88d5-4c2e-b7f0-b80e3c67e13d
https://www.bcsc.bc.ca/industry/issuer-regulation/reporting-issuers/reporting-issuers-list/s7s7s6sbs7sas7sbs6s5s7s3

▼	11	? x ✓	93	✓ KING EXPRESS INC.		7747556 (Proprietary UID) 31000067898184 (MSB Registration Number) ...	3635 ALDINE MAIL ROAD HOUSTON TEXAS 77039 United States United States	United States	✓ Business			
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KING EXPRESS INC.

Profile ID: 7747556



Profile Data ▼

Input Data	Profile Data	Search Core Analysis
Entity Type	Business	✓ Business Match

Name

king enterprises

✓ KING EXPRESS INC. (Business)

93

ID Numbers

7747556 (Proprietary UID)

31000067898184 (MSB)

United States (Country)

USMSB (Issuer)

MSB Registration Number (Label)

189717879829 (LexID)

United States (Country)

LexisNexis (Issuer)

LexID (Label)

Address

3635 ALDINE MAIL ROAD HOUSTON TEXAS 77039 United States (Business)

United States (Headquarters)

Screening List ▼

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	06/01/2017
Entity Last Updated	09/02/2022
Position	Entity listed on the FinCEN US MSB list
Entity Status	Not Applicable

Comments ▼

Money Services Business Details:
Type: US
Source: US-US Money Services Business
AuthorizedSignature: 2015/6/14
SubCategories:
US MSB
ReceiveDate: 2015/6/15
DateModified: 1/6/2017 2:37:53 PM
NumberOfBranches: 1

Record Sources (1) ▼

http://www.fincen.gov/financial_institutions/msb/msbstateselector.html

▼	12	  	93	 King Money		11961507 (Proprietary UID)	Russia	Russia	 Business		Enforcements (1)	
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King Money

Profile ID: 11961507



Profile Data ▼

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

 Business

Match

Name

king enterprises

 King Money (Business)

king-money.org (DBA, Basic Latin)

93

ID Numbers

11961507 (Proprietary UID)

Address

Russia (Associated)

Screening List ▼

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

06/01/2022

Entity Last Updated

06/01/2022

Position

Unauthorized by the Central Bank of the Russian Federation - February 01, 2021.

Entity Status

Not Applicable

Segments ▼

Country

Subcategory

Source

Enforcements

Russia

Unauthorized

RU-The Central Bank of the Russian Federation

Comments ▼

According to the Central Bank of the Russian Federation: On February 01, 2021, King Money (operating through the website king-money.org) was added to the list of companies with identified signs of illegal activities in the financial market due to the fact that it has signs of a financial pyramid.

Record Sources (2) ▼

<https://www.cbr.ru/inside/warning-list/detail/?id=7845>

<https://www.cbr.ru/inside/warning-list/>

▼	13	  	93	 King Products Inc.		838102 (Proprietary UID)	938 Meadow Wood Road c/o VLL Investments Inc. Clarkson Ontario L5J 2S6 Canada 40 King Street West c/o Gowlings, Suite 5800, Scotia Plaza Toronto Ontario M5H 3Z7 Canada ...	Canada	 Business		Enforcements (4)	
---	----	---	----	--	--	-----------------------------	---	--------	--	--	------------------	--

Profile Data

Input Data	Profile Data	Search Core Analysis
Entity Type	Business	BusinessMatch

Name

king enterprises

King Products Inc. (Business)

93

ID Numbers

838102 (Proprietary UID)

Address

938 Meadow Wood Road c/o VLL Investments Inc. Clarkson Ontario L5J 2S6 Canada (Business)

40 King Street West c/o Gowlings, Suite 5800, Scotia Plaza Toronto Ontario M5H 3Z7 Canada (Business)

3150 Wharton Way Mississauga Ontario L4X 2C1 Canada (Business)

2525 Meadowvale Blvd Mississauga Ontario L5N 5S2 Canada (Business)

195 The West Mall Suite 915 Etobicoke Ontario M9C 5K1 Canada (Business)

Canada (Headquarters)

Screening List

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	28/04/2006
Entity Last Updated	24/11/2021
Position	Cease trade order revoked by British Columbia Securities Commission - May 4, 2005.
Entity Status	Not Applicable

Segments

Country	Subcategory	Source	Enforcements
Canada			
Disciplined			
CA-British Columbia Securities Commission			
Canada			
Disciplined			
CA-Canadian Securities Administrators			
Canada			
Disciplined			
CA-Ontario Securities Commission			
Canada			
Disciplined			
CA-Alberta Securities Commission			

Comments

According to CA-British Columbia Securities Commission and CA-Canadian Securities Administrators; May 4, 2005: On August 3, 2004, the Executive Director ordered under section 164(1) of the Securities Act, R.S.B.C. 1996, c.418, that trading in the securities of King Products Inc. cease until it files the required records referred to in the Order. King Products Inc. has filed a reactivation application with the Executive Director in compliance with BC Policy 12-603.

The Executive Director considers that the following order is not prejudicial to the public interest. The Executive Director orders under section 171 of the Act that the Order is revoked as it applies to King Products Inc. and that trading in the securities of King Products Inc. may resume on May 4, 2005.

According to CA-Ontario Securities Commission and CA-Canadian Securities Administrators; May 4, 2005: The securities of King Products Inc. (the Issuer) are subject to a temporary order made by the Ontario Securities Commission (the Commission) on March 3, 2004 pursuant to paragraph 2 of subsection 127(1) and

subsection 127(5) of the Act, which order was extended by an order of the Commission dated March 15, 2004 made pursuant to subsection 127(8) of the Act (collectively, the Cease Trade Order), directing that trading in securities of the Issuer cease until the Cease Trade Order is revoked by a further order of revocation; And whereas the Issuer has made an application to the Commission pursuant to section 144 of the Act for an order revoking the Cease Trade Order.

And whereas the Issuer has represented to the Commission as follows: The Issuer was amalgamated under the Business Corporations Act (Ontario) on January 1, 2000. The predecessor company to the Issuer was incorporated under the laws of the Province of Ontario by articles of incorporation dated March 11, 1988. Prior to February 1, 1994, the predecessor company to the Issuer operated under the name Wizard Lake Petroleum Corp. On February 1, 1994, the predecessor company to the Issuer changed its name to King Products Inc.

The Issuer is a reporting issuer or equivalent only in British Columbia, Alberta, Ontario and Nova Scotia and is also subject to orders of each of the Alberta Securities Commission (the ASC) and the British Columbia Securities Commission (the BCSC), which orders are identical in substance to the Cease Trade Order. The Issuer has applied to the ASC and the BCSC for a revocation of both of such orders. It is ordered, pursuant to section 144 of the Act, that the Cease Trade Order is revoked.

According to CA-Alberta Securities Commission and CA-Canadian Securities Administrators; May 4 2005: The Alberta Securities Commission (the "Commission") has received an application from King Products Inc. ("King") for an order under: subsection 214(1) of the Act to revoke a cease trade order ("Cease Trade Order") issued by the Commission on March 31, 2004; and subsection 151(c) of the Act exempting King from the requirements of Alberta securities legislation to send financial statements to shareholders concurrently with the filing of same with the Commission (the "Delivery Requirement").

King was amalgamated under the Business Corporations Act (Ontario) on January 1, 2000. The predecessor company, King Products Inc., was incorporated under the laws of the Province of Ontario by articles of incorporation dated March 11, 1988. Prior to February 1, 1994, King operated under the name Wizard Lake Petroleum Corp. On February 1, 1994, articles of amendment were filed to change the company's name and to consolidate its issued and outstanding common shares; The head office of King is located in Clarkson, Ontario.

Now therefore pursuant to the authorization contained in the Cease Trade Order it is ordered that: the Cease Trade Order is revoked; and under subsection 151(c) of the Act, King is exempt from the Delivery Requirement.

According to CA-British Columbia Securities Commission and CA-Canadian Securities Administrators; August 3, 2004: King Products Inc. is a reporting issuer and has not filed: comparative financial statements for its financial year ended December 31, 2001, December 31, 2002, and December 31, 2003, as required under section 145 of the Securities Rules, B.C. Reg. 194/97 (the Rules), interim financial statements for the financial period ended March 31, 2002, June 30, 2002, September 30, 2002, March 31, 2003, June 30, 2003, and September 30, 2003, as required under section 144(1) of the Rules, an interim financial statement for the financial period ended March 31, 2004, as required under Part 4 of National Instrument (NI) 51-102, a Form 51-102F1 Management's Discussion and Analysis for the period ended March 31, 2004, as required under Part 5 of NI 51-102, (the required records).

Under section 164(1) of the Act, the Executive Director orders that all trading in the securities of King Products cease until: it files the required records, completed in accordance with the Act and rules, and the Executive Director makes an order under section 164 of the Act revoking this order.

According to CA-Alberta Securities Commission and CA-Canadian Securities Administrators; March 31, 2004: Upon this matter coming on for hearing pursuant to a Notice of Hearing dated March 17, 2004 ("Notice of Hearing"); And Upon proof of service of said Notice of Hearing upon King Products Inc. (the Company); And Upon it appearing that the Company has neglected or failed to file with the Executive Director: Annual audited financial statements for the years ended December 31, 2001 and 2002; and Interim unaudited financial statements for periods ended March 31, June 30 and September 30 for the years 2002 to 2003 all inclusive.

Pursuant to subsection 22(4) of the Act, the Executive Director; the Director, Capital Markets; the Deputy Director, Capital Markets; or the Manager, Securities Analysis are authorized to revoke this order.

According to CA-Alberta Securities Commission and CA-Canadian Securities Administrators; March 31, 2004: An Interim Cease Trade Order issued on March 17, 2004, against King Products Inc. has expired as of March 31, 2004. A hearing was conducted on March 31, 2004 and a Final Cease Trade Order was issued by the Commission.

According to CA-Alberta Securities Commission and CA-Canadian Securities Administrators; March 4, 2004: King Products Inc.(the Company) is a reporting issuer under the Act; it appears the Company has failed to file with the Executive Director of the Alberta Securities Commission ("Commission") the following financial statements: Annual audited financial statements for the years ended December 31, 2001 and 2002, which said statements were due to be filed no later than May 20, 2002 and 2003 respectively; and Interim unaudited financial statements for periods ended March 31, June 30 and September 30 for the years 2002 to 2003 all inclusive, which said statements were due to be filed no later than May 30, August 29 and November 29, 2002 and 2003 respectively.

Now therefore, the Deputy Director, Capital Markets, being of the opinion that the length of time required for a hearing could be prejudicial to the public interest, it is ordered that trading cease in respect of the securities of the Company for a period of 15 days from the date hereof unless this order is revoked or varied.

According to CA-Ontario Securities Commission and CA-Canadian Securities Administrators; March 3, 2004: Take notice that the undersigned Director made a Temporary Order under paragraph 2 of subsection 127(1) and subsection 127(5) of the Act on the March 3, 2004, a copy of which is attached hereto, that trading in the securities of King Products Inc. (the "Reporting Issuer") shall cease forthwith for a period of fifteen days from the date thereof, by reason of the following facts (the "default"): Interim Statements for the three-month periods ended March 31, 2002 and 2003, six-month periods ended June 30, 2002 and 2003, nine-month periods ended September 30, 2002 and 2003 and audited annual statements for the years ended December 31, 2001 and 2002 have not been filed with the Commission.

AND FURTHER TAKE NOTICE that every person or company served with a copy of this Notice is required to give written notice of such person or company's intention to attend or not to attend the said hearing, addressed to the Director at 20 Queen Street West, 16th Floor, Toronto, Ontario M5H 3S8, at least two days before the date of the hearing, and that, upon failure of the Reporting Issuer to attend the hearing, an order may be made that trading shall cease in respect of the securities of the Reporting Issuer until the Order is revoked by a further Order of Revocation.

Record Sources (20) ▼

http://www.albertasecurities.com/Notices%20Decisions%20Orders%20%20Rulings/Issuers/20050504_-_King_Products_-_REV.pdf

<https://members.worldcompliance.com/Article.aspx?id=9cf10959-1bfe-4b81-9cab-5b2f1c19319d>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=379D52C0DBBE4EBCB5B8781E2D3324D4>

<https://members.worldcompliance.com/Article.aspx?id=9897c8e3-02bb-4291-848d-4f7ef27a7880>

http://www.albertasecurities.com/Notices%20Decisions%20Orders%20%20Rulings/Issuers/_1469131_v1_-_King_Products_-_CTO.pdf

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=A2ACD078E0774D8F943946954670B008>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=AD34A1EE1B98420AA958960227AC80E9>

https://www.bcsc.bc.ca/Enforcement/Cease_Trade_Orders/PDF/2005_BCSECCOM_292_pdf/

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=9ECAB7B8D31A4846B4B51C21DB0543DB>

<https://www.sedar.com/DisplayProfile.do?lang=EN&issuerType=03&issuerNo=00004372>

<https://members.worldcompliance.com/Article.aspx?id=43c8779e-39bb-4805-b34d-00197b04096c>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=8E1857439257427196B6FAB870C7441A>

https://www.bccsc.bc.ca/Enforcement/Cease_Trade_Orders/PDF/2004_BCSECCOM_458_pdf/http://www.albertasecurities.com/Notices%20Decisions%20Orders%20%20Rulings/Issuers/_1455113_v1_-_King_Products_-_ICTO.pdf

<https://sedar.com/GetFile.do?lang=EN&docClass=13&issuerNo=00004372&issuerType=03&projectNo=00082168&docId=159810>

<https://www.sedar.com/GetFile.do?lang=EN&docClass=13&issuerNo=00004372&issuerType=03&projectNo=00224828&docId=445327>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=E7860470384949B1BC2EBB2E19B41003>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=889BB679AFD747478250419D2FE0B5CE>

<https://www.sedar.com/GetFile.do?lang=EN&docClass=13&issuerNo=00004372&issuerType=03&projectNo=00157653&docId=310579>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=A26A493FA39042B1B9C6C32A6D758389>

▼	14	  	93	 King Shipping Company		7127924 (Proprietary UID)	No.55 Linping North Road Room 3H, Hongkou District Shanghai 200081 China China	China	 Business		Enforcements (1)	
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King Shipping Company

Profile ID: 7127924



Profile Data ▼

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

 Business

Match

Name

king enterprises

 King Shipping Company (Business)

93

ID Numbers

7127924 (Proprietary UID)

Address

No.55 Linping North Road Room 3H, Hongkou District Shanghai 200081 China (Business)

China (Headquarters)

Screening List ▼

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

22/10/2015

Entity Last Updated

09/08/2020

Position

Paid \$100,000 to the commission for violation of the Shipping Act on November 14, 2012.

Entity Status

Not Applicable

Segments ▼

Country

Subcategory

Source

Enforcements

United States

Disciplined

US-Federal Maritime Commission

Comments ▼

According to the US-Federal Maritime Commission; November 14, 2012: The Federal Maritime Commission Chairman Richard A. Lidinsky, Jr. announced that the Federal Maritime Commission has completed compromise agreements recovering a total of \$383,000 in civil penalties for alleged violations of the Shipping Act of 1984. The agreements were reached with six non-vessel-operating common carriers (NVOCCs) located in the U.S. and abroad. The agreed penalties resulted from investigations conducted by the Commission's Area Representatives in the New York, South Florida, Los Angeles and Washington D.C. headquarters offices. Staff attorneys with the Bureau of Enforcement negotiated the compromise agreements. The parties settled and agreed to penalties, but did not admit to violations of the Act or the Commission's regulations.

The compromise agreements are: King Shipping Company: King Shipping Company is a bonded and tariffed NVOCC located in the People's Republic of China. Commission staff alleged that King Shipping violated section 10(a)(1) of the Shipping Act by knowingly and willfully obtaining transportation under service contracts to which King Shipping was not a party, and through the further device of misdescribing the cargo or falsely declaring the cargo to be shipped on behalf of a named

account in the service contract. In addition, King Shipping violated section 10(b)(2)(A) of the Shipping Act by providing service other than at the rates and charges in its tariff. King Shipping made a payment of \$100,000 in compromise of these allegations.

Record Sources (2) ▾

https://www.dpiusa.com/about/client_show/022859

https://web.archive.org/web/20190402235520/https://www.fmc.gov/NR_12-12/

▾	15	  	93	 King.com, Inc.		12113320 (Proprietary UID)	United States	United States	 Business		Enforcements (1)	
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King.com, Inc.

Profile ID: 12113320



Profile Data ▾

Input Data		Profile Data	Search Core Analysis
Entity Type	Business	 Business	Match
Name			
king enterprises			
 King.com, Inc. (Business)			
93			
ID Numbers			
12113320 (Proprietary UID)			
Address			
United States (Associated)			

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	01/04/2022
Entity Last Updated	01/04/2022
Position	Settlement of USD 18,000,000 monetary relief reached for violation of civil rights - March 30, 2022.
Entity Status	Not Applicable

Segments ▾

Country	
Subcategory	
Source	
Enforcements	
United States	
Disciplined	
US-Equal Employment Opportunities Commission	

Relationships (3) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
Activision Blizzard, Inc.	Companies	AffiliatedCompany		Enforcement
Activision Publishing, Inc.	Companies	AffiliatedCompany		Enforcement
Blizzard Entertainment, Inc.	Companies	AffiliatedCompany		Enforcement

Comments ▾

According to US-Equal Employment Opportunities Commission; March 30, 2022: U.S. District Court for the Central District of California has approved and entered the consent decree between Activision Blizzard, Inc. and the U.S. Equal Employment Opportunity Commission (EEOC), which includes USD 18,000,000 in monetary relief and significant injunctive relief. This ruling includes not only Activision Blizzard, Inc., but also Blizzard Entertainment, Inc., Activision Publishing, Inc. and King.com, Inc. (collectively referred to as Activision Blizzard) and the entities' subsidiaries.

On September 27, 2021, the EEOC filed a lawsuit alleging that Activision Blizzard violated Title VII of the Civil Rights Act of 1964, which prohibits sexual harassment, pregnancy discrimination, and retaliation for complaining about discrimination. The EEOC filed suit in U.S. District Court for the Central District of California (EEOC v. Activision Blizzard, Inc., et al., Case No. 2:21-cv-07682) along with a consent decree

Record Sources (1) ▾

https://www.eeoc.gov/newsroom/court-approves-eeocs-18-million-settlement-activision-blizzard

▼16

?

✕

✓

93

Kitzinger Nahverkehrsgemeinschaft
✓ KING (AKA)

5821922
(Proprietary UID)

Kitzingen Bavaria Germany
Germany

Germany

✓ Business

SOE (1)

Kitzinger Nahverkehrsgemeinschaft

Profile ID: 5821922

Profile Data ▾

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

✓ Business

Match

Name

king enterprises

Kitzinger Nahverkehrsgemeinschaft (Business)

✓ KING (AKA, Basic Latin)

ID Numbers

93

5821922 (Proprietary UID)

Address

Kitzingen Bavaria Germany (Business)

Germany (Ownership)

Associated Countries

Germany (Ownership)

Screening List ▾

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

03/04/2015

Entity Last Updated

26/04/2019

Position

SOE involved in Transportation

Entity Status

Not Applicable

Segments ▾

Status

SOE

Total Ownership %

Subcategory

Domain

Active

50%

Majority

Transportation

Ownership Country

Ownership Country Percentage

Germany

50%

Relationships (1) ▾

Entity Name

Relationship Group

Type

Ownership Percentage

Segment

Deutsche Bahn AG

Companies

AffiliatedCompany

AdverseMedia, Enforcement, SOE

Comments ▾

Description:
Kitzinger Nahverkehrsgemeinschaft was involved in transport services and it was a subsidiary of Deutsche Bahn AG. Kitzinger Nahverkehrsgemeinschaft is was in Kitzingen, Germany. The company has been dissolved on February 01, 2009.

Record Sources (2) ▾

http://ib.deutschebahn.com/2016/fileadmin/Downloads/DBK_05_08_Sonstige_Angaben_web.pdf
http://www.nidiot.de/de/Kitzinger_Nahverkehrsgemeinschaft

▼	17	  	93	 The King Group, LLC		7116058 (Proprietary UID)	United States	United States	 Business		Enforcements (1)	
---	----	---	----	---	--	---------------------------	---------------	---------------	--	--	------------------	--

The King Group, LLC

Profile ID: 7116058



Profile Data ▾

Input Data		Profile Data	Search Core Analysis
Entity Type	Business	 Business	Match
king enterprises		Name	
 The King Group, LLC (Business)			
93		ID Numbers	
		7116058 (Proprietary UID)	
		Address	
		United States (Associated)	

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	12/10/2015
Entity Last Updated	12/07/2018
Position	Ordered to pay USD 80,210 disgorgement and prejudgment interest to settle fraud charges - September 28, 2015.
Entity Status	Not Applicable

Segments ▾

Country	
Subcategory	
Source	
Enforcements	
United States	
Disciplined Fraud	
US-U.S. Securities and Exchange Commission	

Relationships (1) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
King, Jeffrey A.	Associations	Owner		Enforcement

Comments ▾

According to the U.S. Securities and Exchange Commission; October 8, 2015: The Securities and Exchange Commission announced that the Honorable Rudolph Contreras, United States District Judge for the District of Columbia, entered final judgments on September 28, 2015, against Garfield M. Taylor, Jeffrey A. King, Garfield Taylor, Inc. ("GTI"), Gibraltar Asset Management Group, LLC ("Gibraltar") and The King Group, LLC ("TKG"), in connection with a multi-million dollar Washington-area Ponzi scheme.

The Commission filed a complaint on November 18, 2011, alleging that Gibraltar's and GTI's former Chief Executive Officer, Garfield M. Taylor, operating through GTI and Gibraltar, with the assistance of Jeffrey A. King and others, conducted a Ponzi scheme targeting investors in the Washington D.C. metropolitan area. The complaint alleged that the scheme defrauded more than USD 27,000,000 from approximately 130 investors from 2005 to 2010, before ultimately collapsing in the fall of 2010.

The King Group, LLC, a relief defendant wholly owned and controlled by King, was ordered to pay disgorgement of USD 65,801 and prejudgment interest of USD

14,409, for a total of USD 80,210.

According to the U.S. Securities and Exchange Commission; February 5, 2013: The Commission's case is still pending against the remaining defendants: Garfield M. Taylor, Jeffrey A. King, Maurice G. Taylor, GTI, Gibraltar, and The King Group, LLC. On December 13, 2012, the Court granted the Commission's motion for summary judgment on all charges sought by the Commission against Garfield Taylor. The Court will determine the appropriate injunctive relief, as well as the specific amount of disgorgement and penalty that Garfield Taylor will be ordered to pay, when it enters its Final Judgment against him.

Record Sources (3) ▾

<http://www.sec.gov/litigation/litreleases/2015/lr23381.htm>

<https://www.sec.gov/litigation/litreleases/2013/lr22611.htm>

<https://www.sec.gov/litigation/litreleases/2015/lr23381.htm>

▼	18	  	93	 The King Trust		7954492 (Proprietary UID) X1WXGF.99999.S L.554 (GIIN)	New Zealand	New Zealand	 Business		Registrations (1)	
---	----	---	----	--	--	--	-------------	-------------	--	--	-------------------	--

The King Trust

Profile ID: 7954492



Profile Data ▾

Input Data		Profile Data	Search Core Analysis
Entity Type	Business	 Business	Match
Name			
king enterprises			
 The King Trust (Business)			
93			
ID Numbers			
7954492 (Proprietary UID)			
X1WXGF.99999.SL.554 (GIIN)			
New Zealand (Country)			
FATCA (Issuer)			
GIIN (Label)			
Address			
New Zealand (Headquarters)			

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	21/03/2017
Entity Last Updated	21/03/2017
Position	Entity listed on the IRS FATCA list
Entity Status	Not Applicable

Segments ▾

Sub Categories	
Source	
Registrations	
FATCA Reg Inst	
SPC-US IRS FATCA FFI	

Record Sources (1) ▾

<https://apps.irs.gov/app/fatcaFfiList/flu.jsf>

▼	19	  	92	 King		14636355 (Proprietary UID)	Cayman Islands	Cayman Islands	 Business		Registrations (1)	
---	----	---	----	--	--	-------------------------------	----------------	----------------	--	--	-------------------	--

				Holdings, L.P.		8LHLGW.99999.S L.136 (GIIN)					
--	--	--	--	----------------	--	--------------------------------	--	--	--	--	--

King Holdings, L.P.

Profile ID: 14636355

Profile Data

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

Business

Match

Name

king enterprises

King Holdings, L.P. (Business)

92

ID Numbers

14636355 (Proprietary UID)

8LHLGW.99999.SL.136 (GIIN)

Cayman Islands (Country)

FATCA (Issuer)

GIIN (Label)

Address

Cayman Islands (Headquarters)

Screening List

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

02/12/2025

Entity Last Updated

02/12/2025

Position

Entity listed on the IRS FATCA list

Entity Status

Not Applicable

Segments

Sub Categories

Source

Registrations

FATCA Reg Inst

SPC-US IRS FATCA FFI

Record Sources (1)

https://apps.irs.gov/app/fatcaFfiList/flu.jsf

▼	20	? ✕ ▼	92	King Trade B.V.		12508196 (Proprietary UID) 39587 (OFAC) ...	Purcellstraat 4 GZ Boxtel 5283 Netherlands Netherlands	Netherland s	Business		Sanctions (1)	
---	----	--	----	----------------------------	--	--	---	-----------------	----------	--	---------------	--

King Trade B.V.

Profile ID: 12508196

Profile Data

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

Business

Match

Name

king enterprises

King Trade B.V. (Business)

92

ID Numbers

12508196 (Proprietary UID)

39587 (OFAC)
United States (Country)
Office of Foreign Assets Control (Issuer)
OFAC Distinct Party Fixed Reference Number (Label)

NL862449704B01 (Tax ID)
Netherlands (Country)
Tax Administration Office (Issuer)
Tax Number (BTW) (Label)

862449704 (Tax ID)
Netherlands (Country)
Tax Administration Office (Issuer)
Fiscal Number (RSIN) (Label)

862449704 (Tax ID)
Netherlands (Country)
Unknown (Issuer)
Tax ID (Label)

000048629154 (Business Registration Number)
Netherlands (Country)
7/1/2021 (Issue Date)
Chamber of Commerce (Issuer)
Company Registration Number (Label)

82391548 (Business Registration Number)
Netherlands (Country)
7/1/2021 (Issue Date)
Chamber of Commerce (Issuer)
Chamber of Commerce Number (Kvk) (Label)

Address

Purcellstraat 4 GZ Boxtel 5283 Netherlands (Associated)
Netherlands (Associated)

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	09/11/2022
Entity Last Updated	15/01/2026
Position	Sanctioned Entity.
Entity Status	Not Applicable

Segments ▾

Country	
Source	
	Sanctions
United States	
US-U.S. Office of Foreign Asset Control (OFAC) - SDN List	

Relationships (1) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
De Koning, Martinus Pterus Henrikus	Associations	Associate		Sanction

Comments ▾

On November 09, 2022, the United States Department of the Treasury's Office of Foreign Assets Control designated King Trade B.V. for being owned, controlled, or directed by, or having acted or purported to act for or on behalf of, directly or indirectly, Martinus Pterus Henri De Koning.

Record Sources (10) ▾

<https://www.opensanctions.org/entities/ofac-39587/>
<https://www.info-clipper.com/en/company/netherlands/king-trade-bv.nld85zhce.html?retry=1>
<https://ofac.treasury.gov/recent-actions/20221109>
<https://opencorporates.com/companies/nl/82391548>
<https://www.oozo.nl/bedrijven/boxtel/boxtel/boxtel-oost/2261958/king-trade-b-v>
<https://graydongo.nl/nl/nl-overig-king-trade-bv-boxtel-82391548>
<https://www.transfirm.nl/nl/organisatie/823915480000>
<https://drimble.nl/bedrijf/boxtel/48629154/king-trade-bv.html>
<https://home.treasury.gov/news/press-releases/jy1089>
<https://sanctionslistservice.ofac.treas.gov/api/PublicationPreview/exports/SDN.XML>

▼	21	  	91	Hay Hill Wealth Management Limited  King Capital Management Limited (AKA)		10715467 (Proprietary UID) GB987072577 (Tax ID) ...	12 Hay Hill England LONDON W1J 8NR United Kingdom 20 North Audley Street England LONDON Greater London W1K 6LX United Kingdom ...	United Kingdom	 Business		PEP (1)	
---	----	---	----	---	--	---	---	----------------	--	--	---------	--

Hay Hill Wealth Management LimitedProfile ID: 10715467

Profile Data ▾

Input Data	Profile Data	Search Core Analysis
Entity TypeBusiness	 Business	Match

Name

king enterprises

Hay Hill Wealth Management Limited (Business)
 King Capital Management Limited (AKA, Basic Latin)
Hay Hill Wealth Management Ltd (Acronym, Basic Latin)
Ludgate 356 Limited (AKA, Basic Latin)
Glendevon King Limited (AKA, Basic Latin)

91

ID Numbers

10715467 (Proprietary UID)
GB987072577 (Tax ID)
United Kingdom (Country)
Her Majesty's Revenue and Customs (Issuer)
Tax ID (Label)
05416229 (Business Registration Number)
United Kingdom (Country)
Companies House (Issuer)
Company Registration Number (Label)

Address

12 Hay Hill England LONDON W1J 8NR United Kingdom (Business)
20 North Audley Street England LONDON Greater London W1K 6LX United Kingdom (Business)
United Kingdom (PEP)
United Kingdom (Headquarters)

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	27/04/2020
Entity Last Updated	20/07/2023
Position	Company co-owned by Stanley Fink, Member of Parliament, United Kingdom.
Entity Status	Not Applicable

Segments ▾

Status	Type	Country	Admin Unit	Admin Level	Sub Categories	Country Role	Governing Institution	Governing Role	Effective Date	
Expiration Date										PEP
Unknown	Secondary			N/A	PEP Controlled Bus	Unknown				

Relationships (1) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
Fink, Stanley	Associations	Owner		PEP

Comments ▾

Description:
Hay Hill Wealth Management Limited was incorporated on April 06, 2005.

Record Sources (8) ▾

<https://beta.companieshouse.gov.uk/company/05416229/filing-history/MzlyMzcyNDI1N2FkaXF6a2N4/document?format=pdf&download=0>
<https://beta.companieshouse.gov.uk/company/05416229/filing-history>
<https://find-and-update.company-information.service.gov.uk/company/05416229/filing-history/MzMyNTU4MDkzMmFkaXF6a2N4/document?format=pdf&download=0>
<https://members.parliament.uk/member/4219/registeredinterests>
<https://beta.companieshouse.gov.uk/company/05416229>
<https://www.bizdb.co.uk/company/glendevon-king-limited-05416229/>
<https://beta.companieshouse.gov.uk/company/05416229/persons-with-significant-control>
http://ec.europa.eu/taxation_customs/vies/vatResponse.html

▼	22	  	91	 King Capital Management		9330596 (Proprietary UID)	Jl. S. Iskandar Muda 23/F Pondok Indah Office Tower 3 Jakarta 12310 Indonesia Indonesia	Indonesia	 Business		Enforcements (8)
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Profile Data

Input Data		Profile Data	Search Core Analysis
Entity Type	Business	✔ Business	Match
			Name
king enterprises			
King Capital Management (Business)			
www.kingcapitalmanagement.com (DBA, Basic Latin)			
91			
			ID Numbers
9330596 (Proprietary UID)			
			Address
Jl. S. Iskandar Muda 23/F Pondok Indah Office Tower 3 Jakarta 12310 Indonesia (Business)			
Indonesia (Associated)			

Screening List

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	27/08/2018
Entity Last Updated	19/03/2026
Position	Unauthorized by the Indonesian Financial Services Authority to provide financial services - March 10, 2020.
Entity Status	Not Applicable

Segments

Country	Subcategory	Source	
			Enforcements
Australia			
Unauthorized			
AU-Australian Securities & Investments Commission			
Indonesia			
Unauthorized			
ID - Indonesian Financial Services Authority			
Indonesia			
Disciplined			
ID - Indonesian Financial Services Authority			
Italy			
Unauthorized			
IT-Italy Commissione Nazionale per le Società e la Borsa			
N/A			
Unauthorized			
INT-International Organization of Securities Commissions			
New Zealand			
Fraud			
Unauthorized			
NZ-New Zealand Financial Markets Authority			
Singapore			
Unauthorized			
SG-Monetary Authority of Singapore			
Sweden			
Unauthorized			
SE-Swedish Financial Supervisory Authority (Finansinspektionen)			

Comments ▼

According to the Indonesian Financial Services Authority: King Capital Management is listed under the list of Unauthorized Investment Services by the Indonesian Financial Services Authority on March 10, 2020. The public is advised not to invest in entities whose legality and supervision are unclear.

According to the Italy Commissione Nazionale per le Società e la Borsa; July 22, 2019: On July 22, 2019, the Commissione Nazionale per le Società e la Borsa placed King Capital Management on an unauthorized list of companies following a warning issued by the New Zealand Financial Markets Authority.

According to the International Organization of Sec Commissions and the Swedish Financial Supervisory Authority; July 12, 2019: King Capital Management is added to the list of International Organization of Securities Commission's list of alerts and warnings based on the NZ-New Zealand Financial Markets Authority alert/warning that the entity is not authorized to provide investment services in the jurisdiction of the New Zealand.

According to the New Zealand Financial Markets Authority: July 12, 2019:
Trading Name: King Capital Management
Address: 23/F Pondok Indah Office Tower 3, Jl. S. Iskandar Muda, Jakarta 12310, Indonesia
Website: www.kingcapitalmanagement.com
Phone: +6221 2975 9208
EMAIL: info@kingcapitalmanagement.com

Reason for warning: We are concerned that King Capital Management may be operating a scam and accordingly advise caution before dealing with them.

According to the Indonesian Financial Services Authority; April 28, 2019: The Investment Alert Task Force of the Indonesian Financial Services Authority (OJK) had stopped the activities of 73 business activities that are suspected of conducting business activities without permission from the authorities and potentially harming the community. The public was advised to be careful of products and business activities of the entities that have been monitored and investigated directly by the Task Force.
King Capital Management was one of the entities listed by the Indonesian Financial Services Authority on April 24, 2019 whose activities were stopped by the Task Force.

According to the Monetary Authority of Singapore: On October 31, 2018, King Capital Management was included in the list of unregulated entities which, based on information received by the Monetary Authority of Singapore, may have been wrongly perceived as being licensed or authorized by the Monetary Authority of Singapore.
Reported entity URL:
www.kingcapitalmanagement.com

According to the Australia Securities & Investments Commission: King Capital Management has made unsolicited calls or sent emails about investing, financial advice, credit or loans and do not hold current Australian Financial Services (AFS) or Australian Credit license from ASIC.

King Capital Management
Address: 23/F, Pondok Indah Office Tower 3, Jl. S. Iskandar Muda, Jakarta, 12310 Indonesia
Phone: +6221 2975 9208
Email: info@kingcapitalmanagement.com
Website: www.kingcapitalmanagement.com

Record Sources (10) ▼

- <https://www.moneysmart.gov.au/scams/companies-you-should-not-deal-with/unlicensed-companies-list/k>
- <https://www.ojk.go.id/id/berita-dan-kegiatan/siaran-pers/Pages/Siaran-Pers-Satgas-Hentikan-144-Fintech-Lending-Tanpa-Izin.aspx>
- <https://www.fi.se/sv/vara-register/fis-varningslista/?q=King+Capital+Management>
- <https://www.moneysmart.gov.au/scams/companies-you-should-not-deal-with/unlicensed-companies-list/k/king-capital-management>
- <https://www.fma.govt.nz/news-and-resources/warnings-and-alerts/king-capital/>
- <https://www.mas.gov.sg/investor-alert-list>
- <https://sikapiuangmu.ojk.go.id/FrontEnd/AlertPortal/Negative>
- <http://www.consob.it/web/area-pubblica/avvisi-ai-risparmiatori/documenti/tutela/other/2019/ct20190722.htm>
- https://www.iosco.org/investor_protection/investor_alerts/_investor_alerts.cfm?Rows=6000&CurrentPage=1
- <https://www.ojk.go.id/id/berita-dan-kegiatan/siaran-pers/Documents/Pages/Siaran-Pers-Satgas-Hentikan-144-Fintech-Lending-Tanpa-Izin/73%20Entitas%20legal%20april.pdf>

▼	23	  	91	 King Check Cashing, Inc.	7747528 (Proprietary UID) 31000070581047 (MSB Registration Number)	2811 Campbell Ave. Lynchburg VIRGINIA 24501 United States United States	United States	 Business			
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King Check Cashing, Inc.

Profile ID: 7747528



Profile Data ▼

Input Data		Profile Data	Search Core Analysis
Entity Type	Business	 Business	Match
Name			
king enterprises			
 King Check Cashing, Inc. (Business)			
91			
ID Numbers			
7747528 (Proprietary UID)			

31000070581047 (MSB)
United States (Country)
USMSB (Issuer)
MSB Registration Number (Label)

Address

2811 Campbell Ave. Lynchburg VIRGINIA 24501 United States (Business)

United States (Headquarters)

Screening List

Screening List Name: WorldCompliance® Data Plus
File Build: 27/03/2026
Entity Created: 06/01/2017
Entity Last Updated: 19/12/2019
Position: Entity listed on the FinCEN US MSB list
Entity Status: Not Applicable

Comments

Money Services Business Details:
Type: US
Source: US-US Money Services Business
AuthorizedSignature: 2015/7/24
SubCategories:
US MSB
ReceiveDate: 2015/7/27
DateModified: 1/6/2017 2:35:42 PM

Record Sources (1)

http://www.fincen.gov/financial_institutions/msb/msbstateselector.html

▼	24	  	91	 King Communications International Limited		1492394 (Proprietary UID) 82235437 (LexID) ...	1688 – 609 Granville Street c/o PO Box 10321, Pacific Centre Vancouver British Columbia V7Y 1G5 Canada 5401 Alhambra Drive Unit B Orlando Florida 32808 United States ...	Canada United States Australia	 Business		Enforcements (3)	
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King Communications International Limited

Profile ID: 1492394



Profile Data

Input Data: Entity Type: Business
Profile Data:  Business
Search Core Analysis: Match

Name

king enterprises

 King Communications International Limited (Business)

91

ID Numbers

1492394 (Proprietary UID)
82235437 (LexID)
United States (Country)
LexisNexis (Issuer)
LexID (Label)
073399532 (Business Registration Number)
Australia (Country)
Australian Securities and Investments Commission (Issuer)

Company Number (Label)

Address

1688 – 609 Granville Street c/o PO Box 10321, Pacific Centre Vancouver British Columbia V7Y 1G5 Canada (Business)

5401 Alhambra Drive Unit B Orlando Florida 32808 United States (Business)

3 Lanceley Place Unit 6 Artarmon New South Wales Australia (Business)

United States (Headquarters)

Australia (Associated)

Canada (Associated)

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	10/11/2008
Entity Last Updated	10/10/2022
Position	Cease trade order issued for failed to file financial statements - January 4, 2002.
Entity Status	Not Applicable

Segments ▾

Country	
Subcategory	
Source	
	Enforcements
Canada	
Disciplined	
CA-Alberta Securities Commission	
Canada	
Disciplined	
CA-British Columbia Securities Commission	
Canada	
Disciplined	
CA-Canadian Securities Administrators	

Comments ▾

According to the CA-Alberta Securities Commission; January 4, 2002: UPON this matter coming on for hearing pursuant to a Notice of Hearing dated December 21, 2001(the Notice of Hearing); AND UPON proof of service of said Notice of Hearing upon King Communications International Limited (the Company).

IT IS ORDERED, under Section 198 of the Act, that trading cease in respect of the securities of the Company until further order of the Commission or until this order has been revoked by the Executive Director as provided below; PURSUANT to section 22(4) of the Act, the Executive Director is authorized to revoke this order.

According to CA-British Columbia Securities Commission and CA-Canadian Securities Administrators; November 26, 2001: King Communications International Limited (Reporting Issuer) has failed to file a comparative financial statement for the financial year ended June 30, 2001, which is a required record under section 145 of the Securities Rules, B.C. Reg. 194/97.

It is ordered under section 164(1) of the Securities Act, R.S.B.C. 1996, c. 418, that all trading in the securities of each Reporting Issuer cease until it files the required record.

According to the CA-British Columbia Securities Commission; March 8, 2001: WHEREAS King Communications International Limited (the Issuer), is a reporting issuer, AND WHEREAS on February 16, 2001, it was ordered under section 164(1) of the Securities Act, R.S.B.C. 1996, c. 418 (the Order), that all trading in the securities of the Issuer cease until the Issuer files the Required Records, AND WHEREAS the Issuer has now filed the Required Records, NOW THEREFORE it is ordered under section 171 of the Act that the Order is revoked.

According to the CA-British Columbia Securities Commission; February 16, 2001: WHEREAS King Communications International Limited (the Issuer) is a

reporting issuer other than a mutual fund, and b) an exchange issuer;AND WHEREAS the Issuer has failed to file a) an interim financial statement for the three month period ended September 30, 2000, as required under section 144(1) of the Securities Rules, B.C. Reg. 194/97 (the Rules), b) a quarterly report for the period ended September 30, 2000, as required under section 152 of the Rules,(the Required Records).

NOW THEREFORE it is ordered under section 164(1) of the Securities Act, R.S.B.C. 1996, c. 418, that all trading in the securities of the Issuer cease until the Issuer files the Required Records.

According to the CA-British Columbia Securities Commission; April 28, 2000: WHEREAS King Communications International Limited (the Issuer), is a reporting issuer, AND WHEREAS on January 26, 2000, it was ordered under section 164(1) of the Securities Act, R.S.B.C. 1996, c. 418 (the Order), that all trading in the securities of the Issuer cease until the Issuer files the Required Records,

AND WHEREAS the Issuer has now filed the Required Records, NOW THEREFORE it is ordered under section 171 of the Act that the Order is revoked.

According to the CA-British Columbia Securities Commission; January 26, 2000: WHEREAS King Communications International Limited (the Issuer) is a) a reporting issuer other than a mutual fund, and b) an exchange issuer; AND WHEREAS the Issuer has failed to file a) a comparative financial statement for its financial year ended June 30, 1999, as required under section 145 of the Securities Rules, B.C. Reg. 194/97 (the Rules), b) an interim financial statement for the three month period ended September 30, 1999, as required under section 144(1) of the Rules, c) quarterly reports for the periods ended June 30, 1999 and September 30, 1999, as required under section 152 of the Rules, (the Required Records).

NOW THEREFORE it is ordered under section 164(1) of the Securities Act, R.S.B.C. 1996, c. 418, that all trading in the securities of the Issuer cease until the Issuer files the Required Records.

Record Sources (11) ▼

https://members.worldcompliance.com/Article.aspx?id=6fe7ccad-1d15-4ad5-8cb6-a78e634d5a50
https://www.bcsc.bc.ca/documents/view/S7S5S6S4S7S3S7SAS7S1
http://www.albertasecurities.com/Notices%20Decisions%20Orders%20%20Rulings/Issuers/_897492_v1_-_King_Communications_CTO.pdf
https://connectonline.asic.gov.au/RegistrySearch/faces/landing/SearchRegisters.jspx?_adf.ctrl-state=aqnhe2tf9_4
https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=26B4223CBE2347FE8590B032C5156987
http://www.albertasecurities.com/
https://www.bcsc.bc.ca/industry/issuer-regulation/reporting-issuers/reporting-issuers-list/s7s6s0s7s7s7s7sa
https://www.bcsc.bc.ca/Enforcement/Cease_Trade_Orders/ALTO_MINERALS_INC_-_et_al____Sec__164_//
http://www.albertasecurities.com/Notices%20Decisions%20Orders%20%20Rulings/Issuers/_892646_v1_-_King_Communications_International_Ltd._ICTO.pdf
https://members.worldcompliance.com/Article.aspx?id=cdbb84b1-0403-4ce2-9150-17830eb68a41
https://sedar.com/GetFile.do?lang=EN&docClass=13&issuerNo=00008529&issuerType=03&projectNo=00130076&docId=258363

▼	25	  	91	 King East Auto Inc.		1933871 (Proprietary UID)	Kitchener Ontario Canada Canada	Canada	 Business		Adverse Media (1)	
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King East Auto Inc.

Profile ID: 1933871



Profile Data ▼

Input Data		Profile Data	Search Core Analysis
Entity Type	Business	 Business	Match
Name			
king enterprises			
 King East Auto Inc. (Business)			
91			
ID Numbers			
1933871 (Proprietary UID)			
Address			
Kitchener Ontario Canada (Business)			
Canada (Associated)			

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	12/08/2010
Entity Last Updated	21/05/2021
Position	Charged with tax evasion - December, 2008.
Entity Status	Not Applicable

Segments ▾

Description	
Subcategory	Adverse Media
Incident	
Tax Evasion	

Relationships (1) ▾

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
Jandu, Manjit	Associations	Associate		AdverseMedia

Comments ▾

According to the newswire.ca; December 18, 2008: In December, 2008, The Ministry of Revenue's Special Investigations Branch charged King East Auto Inc. and its owner Manjit Jandu after discovering Jandu directed the business willful evasion by failing to pay CAD 25,850 in RST collected between April 2002 and May 2005.

Record Sources (2) ▾

<https://web.archive.org/web/20120327040550/http://www.newswire.ca/fr/story/223537/used-car-dealer-convicted-in-retail-sales-tax-evasion>
<http://news.ontario.ca/archive/en/2008/12/18/Used-Car-Dealer-Convicted-in-Retail-Sales-Tax-Evasion.html>

▾	26	  	91	 King Financial Services, Inc.		868018 (Proprietary UID) 900665145 (LexID)	Iselin New Jersey United States United States	United States	 Business		Enforcements (1)	
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King Financial Services, Inc.

Profile ID: 868018



Profile Data ▾

Input Data		Profile Data		Search Core Analysis	
Entity Type	Business	 Business		Match	
Name					
king enterprises					
 King Financial Services, Inc. (Business)					
91					
ID Numbers					
868018 (Proprietary UID)					
900665145 (LexID)					
United States (Country)					
LexisNexis (Issuer)					
LexID (Label)					
Address					
Iselin New Jersey United States (Business)					
United States (Associated)					

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	04/06/2006
Entity Last Updated	10/03/2026
Position	Censured and fined \$13,000 by FINRA - 2005
Entity Status	Not Applicable

Segments ▾

Country	
Subcategory	
Source	
Enforcements	
United States	
Antitrust Violations Conspiracy Counterfeiting Disciplined Forgery	
US –Financial Industry Regulatory Authority	

Comments ▾

According to the U.S. Financial Industry Regulatory Authority; February, 2005: King Financial Services, Inc. (CRD #25843) of Old Bridge, New Jersey was censured, fined \$13,000, and required to revise the firm’s supervisory procedures with respect to Order Audit Trail SystemSM (OATSSM) reporting. Without admitting or denying the allegations, the firm consented to the described sanctions and to the entry of findings that it submitted to OATS Reportable Order Events (ROEs) with respect to equity securities traded on NASDAQ that were not in the electronic form prescribed by NASD and were repairable. The findings stated that the subject reports were rejected by the OATS system and notice of such rejection was made available to the firm on the OATS Web site, but the firm failed to correct or replace any of the subject reports. The findings also stated the firm failed to timely report ROEs to OATS. NASD also found that the firm’s supervisory system did not provide for supervision reasonably designed to achieve compliance with applicable securities laws, regulations, and NASD rules concerning OATS reporting. (NASD Case #CMS040195). According to the U.S. Financial Industry Regulatory Authority; January 18, 2000: King Financial Services, Inc. (CRD #25843) of Iselin, New Jersey was censured and fined \$10,000. Without admitting or denying the allegations, the firm consented to the described sanctions and to the entry of findings that it failed to respond in a timely manner to NASD requests for an automated submission of trading data for Nasdaq National Market® (NNM) securities and one request for an automated submission of trading data for a non-Nasdaq security. The findings also stated that the firm submitted an automated submission of trading data more than 30 days after the date such information was required to be provided. (NASD Case #CMS990157). According to the U.S. Securities and Exchange Commission; October 20, 1998: The Securities and Exchange Commission (Commission) deems it appropriate and in the public interest to institute public administrative and cease-and-desist proceedings against the Respondents listed in Appendices A, B and C pursuant to Sections 15(b) and 21C of the Securities Exchange Act of 1934 (Exchange Act). The Respondents listed on Appendices B and C are all broker-dealers registered with the Commission pursuant to Section 15(b) of the Exchange Act. These Respondents were each required to maintain minimum net capital of \$100,000 or greater pursuant to 17 C.F.R. 240.15c3-1(a)(2) as of July 15, 1998. As of October 2, 1998, the Respondents listed in Appendix C had not filed Part I or Part II of Form BD-Y2K with the NASD or the Commission. Accordingly, It is ordered that: The Respondents listed in Appendices A, B and C be, and hereby are, censured. Pursuant to Section 21C of the Exchange Act, the Respondents listed in Appendices A, B and C shall cease and desist from committing or causing any violations, and committing or causing any future violations of Section 17(a) of the Exchange Act and Rule 17a-5(e)(5) thereunder. Pursuant to Section 21B of the Exchange Act, each of the Respondents listed in Appendix A shall, prior to the close of business within ten business days after the date of the entry of the Order against them, pay a civil penalty in the amount of \$5000 to the United States Treasury.
--

Record Sources (8) ▾

http://www.nasd.com/web/idcplg?IdcService=SS_GET_PAGE&nodeId=1185&ssSourceNodeId=731
http://www.finra.org/web/groups/industry/@ip/@enf/@da/documents/disciplinaryactions/p013347.pdf
https://members.worldcompliance.com/Article.aspx?id=4dd3f276-9e1a-40d0-a09b-d8a87a65b8cc
https://members.worldcompliance.com/Article.aspx?id=2aca8ad7-460f-4db2-9b29-bafbd88a4f63
http://brokercheck.finra.org/Firm/Summary/25843
http://www.sec.gov/litigation/admin/3440573.txt
http://www.finra.org/web/groups/industry/@ip/@enf/@da/documents/disciplinaryactions/p007537.pdf
https://members.worldcompliance.com/Article.aspx?id=b5760b17-96b9-4b3c-940e-8cec597bb6cd

▼	27	  	91	 KING FOOD STORE INC	7747600 (Proprietary UID) 31000070523318 (MSB Registration Number)	2403 MLK DR SHREVEPORT LOUISIANA 71107 United States United States	United States	 Business			
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Profile Data

Input Data

Profile Data

Search Core Analysis

Entity Type	Business	Business	Match
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Name

king enterprises

KING FOOD STORE INC (Business)

91

ID Numbers

7747600 (Proprietary UID)

31000070523318 (MSB)

United States (Country)

USMSB (Issuer)

MSB Registration Number (Label)

Address

2403 MLK DR SHREVEPORT LOUISIANA 71107 United States (Business)

United States (Headquarters)

Screening List

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	06/01/2017
Entity Last Updated	19/12/2019
Position	Entity listed on the FinCEN US MSB list
Entity Status	Not Applicable

Comments

Money Services Business Details:
Type: US
Source: US-US Money Services Business
AuthorizedSignature: 2015/7/23
SubCategories:
US MSB
ReceiveDate: 2015/7/24
DateModified: 1/6/2017 2:41:36 PM

Record Sources (1)

http://www.fincen.gov/financial_institutions/msb/msbstateselector.html

▼	28		91	King Global Ventures Inc.	9968394 (Proprietary UID) CA49549V3048 (ISIN) ...	82 Richmond Street East Suite 200 Toronto Ontario M5C 1P1 Canada 6012-85 Avenue Edmonton Alberta T6B 0J5 Canada ...	Canada	Business	Enforcements (3)
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King Global Ventures Inc.

Profile ID: 9968394



Profile Data

Input Data

Profile Data

Search Core Analysis

Entity Type	Business	Business	Match
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Name

king enterprises

King Global Ventures Inc. (Business)

Rosita Mining Corporation (AKA, Basic Latin)

Midlands Minerals Corporation (AKA, Basic Latin)

91

ID Numbers

9968394 (Proprietary UID)

CA49549V3048 (ISIN)

Canada (Country)

The Canadian Depository for Securities Ltd (Issuer)

International Securities Identification Number (Label)

549300YKYOP5LJHQYT31 (Legal Entity Identifier)

Canada (Country)

12/5/2017 (Issue Date)

EQS Group GmbH (EQS) (Issuer)

Legal Entity Identifier (Label)

7/22/2024 (Expiration Date)

Address

82 Richmond Street East Suite 200 Toronto Ontario M5C 1P1 Canada (Business)

6012-85 Avenue Edmonton Alberta T6B 0J5 Canada (Business)

1220 Sheppard Avenue East Suite 402, North York Toronto Ontario M2K 2S5 Canada (Business)

1895 - 1066 West Hastings Street Vancouver British Columbia V6E 3X1 Canada (Business)

120 Adelaide Street West Suite 2400 Toronto Ontario M5H 1T1 Canada (Business)

1845 - 1066 West Hastings Street Vancouver British Columbia V6E 3X1 Canada (Business)

1210 Sheppard Avenue East Suite 302 Toronto Ontario M2K 1E3 Canada (Business)

Canada (Headquarters)

Screening List

Screening List Name WorldCompliance® Data Plus

File Build 27/03/2026

Entity Created 08/05/2019

Entity Last Updated 26/11/2024

Position Cease trade order revoked by the Ontario Securities Commission - July 09, 2021.

Entity Status Not Applicable

Segments

Country

Subcategory

Source

Enforcements

Canada

Disciplined

CA-Canadian Securities Administrators

Canada

Disciplined

CA-Ontario Securities Commission

Canada

Relationships (2) ▼

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
Cook, John F	Associations	Associate		Enforcement
Hugo, Victor Johan	Associations	Associate		Enforcement

Comments ▼

According to Canada Ontario Securities Commission and Canadian Securities Administrators; July 09, 2021: King Global Ventures Inc. (the Issuer) is subject to a failure-to-file cease trade order (the FFCTO) issued by the Ontario Securities Commission (the Principal Regulator) on July 6, 2021. The Issuer has filed the periodic continuous disclosure documents required under the Legislation.

The Principal Regulator is satisfied that the order to revoke the FFCTO meets the test set out in the Legislation for the Principal Regulator to make the decision. The decision of the Principal Regulator under the Legislation is that the FFCTO is revoked on July 09, 2021.

According to Canada Ontario Securities Commission and Canadian Securities Administrators; July 06, 2021: Lapse/Expiry of Management Cease Trade Order and Issuance of a Failure to File Cease Trade Order for King Global Ventures Inc. The Management Cease Trade Order dated May 03, 2021 has been replaced with a Failure to File Cease Trade Order dated July 06, 2021.

According to Canada Ontario Securities Commission and Canadian Securities Administrators; July 06, 2021: This is the order of the Ontario Securities Commission (the Decision Maker). King Global Ventures Inc. (the Issuer) has not filed the following periodic disclosure required by the Legislation: audited annual financial statements for the year ended December 31, 2020; management's discussion and analysis relating to the audited annual financial statements for the year ended December 31, 2020; interim financial statements for the period ended March 31, 2021; management's discussion and analysis relating to the interim financial statements for the period ended March 31, 2021; certification of the foregoing filings as required by National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings.

It is ordered pursuant to section 144 of the Ontario Securities Act that the MCTO is revoked. The Decision Maker is satisfied that the decision concerning the cease trade meets the test set out in the Legislation to make this decision. It is ordered under the Legislation that trading, whether direct or indirect, cease in respect of each security of the Issuer. Despite this order a beneficial security holder of the Issuer who is not, and was not at the date of this order, an insider or control person of the Issuer, may sell securities of the Issuer acquired before the date of this order if both of the following apply: (a) the sale is made through a "foreign organized regulated market", as defined in section 1.1 of the Universal Market Integrity Rules of the Investment Industry Regulatory Organization of Canada; and (b) the sale is made through an investment dealer registered in a jurisdiction of Canada in accordance with applicable securities legislation.

According to Canada Ontario Securities Commission and Canadian Securities Administrators; May 03, 2021: Whereas King Global Ventures Inc. (the Reporting Issuer) is a reporting issuer in the Province of Ontario. And whereas each of John Cook and Victor Hugo (individually, a "Respondent" and collectively, the Respondents) is a director, officer or other insider of the Reporting Issuer and had, or may have had, access to material information with respect to the Reporting Issuer that has not been generally disclosed. On May 03, 2021, cease trade order issued to King Global Ventures Inc.

And whereas the Reporting Issuer failed to file the following continuous disclosure materials as required by Ontario securities law (collectively, the Default): audited annual financial statements for the year ended December 31, 2020; management's discussion and analysis relating to the audited annual financial statements for the year ended December 31, 2020; certification of the foregoing filings as required by National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings.

It is ordered pursuant to paragraph 2 and paragraph 2.1 of subsection 127(1) and subsection 127(4.1) of the Act that, effective immediately, all trading in and all acquisitions of the securities of the Reporting Issuer, whether direct or indirect, by the Respondents shall cease effective immediately. It is further ordered this order shall be revoked two full business days following the receipt by the Commission of all filings the Reporting Issuer is required to make under Ontario securities law, or further order of the Director. It is further ordered under subsection 127(2) of the Act that, if the Reporting Issuer has a website, this order shall be prominently posted on such website.

According to Canada Ontario Securities Commission and Canadian Securities Administrators; August 13, 2020: King Global Ventures Inc. (the Issuer) is subject to a failure-to-file cease trade order (the FFCTO) issued by the Ontario Securities Commission (the Principal Regulator) on June 22, 2020. The Issuer has filed the periodic continuous disclosure documents required under the Legislation.

The Principal Regulator is satisfied that the order to revoke the FFCTO meets the test set out in the Legislation for the Principal Regulator to make the decision. The decision of the Principal Regulator under the Legislation is that the FFCTO is revoked on August 13, 2020.

According to Canada Ontario Securities Commission and Canadian Securities Administrators; June 22, 2020: King Global Ventures Inc. (The Issuer) has not filed the following periodic disclosure required by the Legislation: audited annual financial statements for the year ended December 31, 2019; management's discussion and analysis relating to the audited annual financial statements for the year ended December 31, 2019; certification of the foregoing filings as required by National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings. On June 22, 2020, cease trade order issued to King Global Ventures Inc.

As a result of this order, if the Issuer is a reporting issuer in a jurisdiction in which Multilateral Instrument 11-103 Failure-to-File Cease Trade Orders in Multiple Jurisdictions applies, a person or company must not trade in or purchase a security of the issuer in that jurisdiction, except in accordance with the conditions that are contained in this order, if any, for so long as this order remains in effect. Further, this order takes automatic effect in each jurisdiction of Canada that has a statutory reciprocal order provision, subject to the terms of the local securities legislation.

The Decision Maker is satisfied that the decision concerning the cease trade meets the test set out in the Legislation to make this decision. It is ordered under the Legislation that trading, whether direct or indirect, cease in respect of each security of the Issuer. Despite this order a beneficial security holder of the Issuer who is not, and was not at the date of this order, an insider or control person of the Issuer, may sell securities of the Issuer acquired before the date of this order if both of the following apply: the sale is made through a "foreign organized regulated market", as defined in section 1.1 of the Universal Market Integrity Rules of the Investment Industry Regulatory Organization of Canada; and the sale is made through an investment dealer registered in a jurisdiction of Canada in accordance with applicable securities legislation.

According to Canada Alberta Securities Commission and Canadian Securities Administrators; May 09, 2019: Rosita Mining Corporation (the Issuer) is subject to a failure-to-file cease trade order (the FFCTO) issued by the regulator or securities regulatory authority in each of Alberta (Principal Regulator) and Ontario (each a Decision Maker) respectively on May 06, 2019. The Issuer has filed the periodic continuous disclosure documents required under the Legislation. This order is the order of the Principal Regulator and evidences the decision of the Decision Maker in Ontario.

Each of the Decision Makers is satisfied that the order to revoke the FFCTO meets the test set out in the Legislation for the Decision Maker to make the decision. The decision of the Decision Makers under the Legislation is that the FFCTO is revoked, as it applies to the Issuer on May 09, 2019.

According to Canada Alberta Securities Commission and Canadian Securities Administrators; May 06, 2019: Rosita Mining Corporation (the Issuer) has not filed the following periodic disclosure required by the Legislation: annual audited financial statements, annual management's discussion and analysis and certification of the annual filings for the year ended December 31, 2018. On May 06, 2019, cease trade order issued to King Global Ventures Inc.

As a result of this order, if the Issuer is a reporting issuer in a jurisdiction in which Multilateral Instrument 11-103 Failure-to-File Cease Trade Orders in Multiple Jurisdictions applies, a person or company must not trade in or purchase a security of the issuer in that jurisdiction, except in accordance with the conditions that are

contained in this order, if any, for so long as this order remains in effect.

The Decision Maker is satisfied that the decision concerning the cease trade meets the test set out in the Legislation to make this decision. It is ordered under the Legislation that trading and purchasing cease in respect of each security of the Issuer. Despite this order a beneficial security holder of the Issuer who is not, and was not at the date of this order, an insider or control person of the Issuer, may sell securities of the Issuer acquired before the date of this order if both of the following apply: the sale is made through a foreign organized regulated market, as defined in section 1.1 of the Universal Market Integrity Rules of the Investment Industry Regulatory Organization of Canada; and the sale is made through an investment dealer registered in a jurisdiction of Canada in accordance with applicable securities legislation.

Record Sources (15) ▾

<https://www.bcsc.bc.ca/industry/issuer-regulation/reporting-issuers/reporting-issuers-list/s7sbs6s4s7s4s7ses6s1s7s3>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=CF6FE0DAD02E4E439865B98ACF7300D1>

<https://www.albertasecurities.com/-/media/ASC-Documents-part-1/Notices-Decisions-Orders-Rulings/Issuers/2019/05/20190506-Clarcoty-CorporationBULKdualFFCTO.ashx>

<https://search.gleif.org/#/record/549300YKYOP5LJHQYT31>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=9671824EA12446A695D109AC107DFBAA>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=CDF216C58D2343E99C10114FD4113440>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=94616FA1C9214AE5B83BBEE2BD233B38>

<https://www.albertasecurities.com/-/media/ASC-Documents-part-1/Notices-Decisions-Orders-Rulings/Issuers/2019/05/20190509-Rosita-Mining-CorporationDualREV.ashx>

<https://www.sedar.com/GetFile.do?lang=EN&docClass=14&issuerNo=00020880&issuerType=03&projectNo=00658846&docId=1282329>

<https://www.sedar.com/DisplayProfile.do?lang=EN&issuerType=03&issuerNo=00020880>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=E5903042FB8C44C38E4957600C09B528>

<https://www.sedar.com/GetFile.do?lang=EN&docClass=14&issuerNo=00020880&issuerType=03&projectNo=01108448&docId=1974635>

<https://www.sedar.com/GetFile.do?lang=EN&docClass=5&issuerNo=00020880&issuerType=03&projectNo=02342759&docId=3724289>

<https://cto-iov.csa-acvm.ca/ArticleFile.asp?Instance=101&ID=21843D11DD0247B087D8DCAC454FC086>

<https://www.sedarplus.ca/csa-party/records/profile.html?id=3440c7a39057b6b6ef0eb3b67564c09b>

▼	29	  	91	 King Medical Management, Inc		8167407 (Proprietary UID) 82060827 (LexID)	United States	United States	 Business		Enforcements (1)	
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King Medical Management, Inc

Profile ID: 8167407



Profile Data ▾

Input Data		Profile Data		Search Core Analysis
Entity Type	Business	 Business		Match
Name				
king enterprises				
 King Medical Management, Inc (Business)				
91				
ID Numbers				
8167407 (Proprietary UID)				
82060827 (LexID)				
United States (Country)				
LexisNexis (Issuer)				
LexID (Label)				
Address				
United States (Associated)				

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	25/04/2017
Entity Last	07/02/2022

Updated Position

Company used as vehicle to insurance fraud

Entity Status

Not Applicable

Segments

Country

Subcategory

Source

Enforcements

United States

Conspiracy
Insurance Fraud

US-California Department of Insurance

Relationships (4)

Entity Name	Relationship Group	Type	Ownership Percentage	Segment
King, Christopher	Associations	Owner		Enforcement
King, Tanya Moreland	Associations	Owner		Enforcement
Monarch Medical Group, Inc	Companies	AffiliatedCompany		Enforcement
One Source Laboratoires, Inc.	Companies	AffiliatedCompany		Enforcement

Comments

According to the United State - California Department of Insurance; April 20, 2017: Insurance Commissioner Dave Jones and Orange County District Attorney (OCDA) Tony Rackaukas announced a shutdown of a USD 40,000,000 fraudulent medical billing and kickback operation with the filing of charges against more than two dozen doctors, pharmacists and business owners.

Tanya Moreland King, and her husband Christopher King, own medical billing and medical management companies Monarch Medical Group, Inc., King Medical Management, Inc. and One Source Laboratoires, Inc. The defendants are accused of masterminding a complex insurance fraud scheme of recruiting doctors and pharmacists to prescribe unnecessary treatment for workers' compensation insurance patients.

From 2011 to 2015, the defendants are charged for their part in the fraudulent scheme of billing for unnecessary creams, tests and treatments to maximize profits. More than 13,000 patients and at least 27 insurance carriers were victims in the scheme. The California Department of Insurance led the investigation with assistance from the Orange County District Attorney's Office Bureau of Investigation, the FBI, and the National Insurance Crime Bureau. Approximately USD 23,200,000 was paid out to the defendants, but a total of USD 40,000,000 was billed to insurers.

Record Sources (1)

<http://www.insurance.ca.gov/0400-news/0100-press-releases/2017/release037-17.cfm>

▼	30	? ✕ ✓	91	King Street Capital, Ltd.		8030335 (Proprietary UID) FMDI5G.00000.LE.092 (GIIN)	British Virgin Islands	British Virgin Islands	Business		Registrations (1)	
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King Street Capital, Ltd.

Profile ID: 8030335

Profile Data

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

Business

Match

Name

king enterprises

King Street Capital, Ltd. (Business)

91

ID Numbers

8030335 (Proprietary UID)
FMDI5G.00000.LE.092 (GIIN)
British Virgin Islands (Country)
FATCA (Issuer)
GIIN (Label)

Address

Screening List

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	27/03/2017
Entity Last Updated	27/03/2017
Position	Entity listed on the IRS FATCA list
Entity Status	Not Applicable

Segments

Sub Categories	
Source	
	Registrations
FATCA Reg Inst	
SPC-US IRS FATCA FFI	

Record Sources (1)

<https://apps.irs.gov/app/fatcaFfiList/flu.jsf>

▼	31	  	91	 King Street Investment Corp.		11526672 (Proprietary UID) CI4IM6.99999.SL.136 (GIIN)	Cayman Islands	Cayman Islands	 Business		Registrations (1)	
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King Street Investment Corp.

Profile ID: 11526672



Profile Data

	Input Data	Profile Data	Search Core Analysis
Entity Type	Business	 Business	Match
	Name		
	king enterprises		
	 King Street Investment Corp. (Business)		
	91		
	ID Numbers		
	11526672 (Proprietary UID)		
	CI4IM6.99999.SL.136 (GIIN)		
	Cayman Islands (Country)		
	FATCA (Issuer)		
	GIIN (Label)		
	Address		
	Cayman Islands (Headquarters)		

Screening List

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	04/05/2021
Entity Last Updated	04/12/2024
Position	Entity listed on the IRS FATCA list
Entity Status	Not Applicable

Segments

Sub Categories

Source

Registrations

FATCA Reg Inst

SPC-US IRS FATCA FFI

Record Sources (1)

<https://apps.irs.gov/app/fatcaFfiList/flu.jsf>

	32	? x ✓	91	King Street Partners LP	8038323 (Proprietary UID) U0EMN4.99999.S L.831 (GIIN)	Guernsey	Guernsey	✓ Business		Registrations (1)	
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King Street Partners LP

Profile ID: 8038323

Profile Data

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

✓ Business

Match

Name

king enterprises

King Street Partners LP (Business)

91

ID Numbers

8038323 (Proprietary UID)
U0EMN4.99999.SL.831 (GIIN)
Guernsey (Country)
FATCA (Issuer)
GIIN (Label)

Address

Guernsey (Headquarters)

Screening List

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

27/03/2017

Entity Last Updated

27/03/2017

Position

Entity listed on the IRS FATCA list

Entity Status

Not Applicable

Segments

Sub Categories

Source

Registrations

FATCA Reg Inst

SPC-US IRS FATCA FFI

Record Sources (1)

<https://apps.irs.gov/app/fatcaFfiList/flu.jsf>

	33	? x ✓	91	The King Family Trust	9579406 (Proprietary UID)	New Zealand	New Zealand	✓ Business		Registrations (1)	
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						XFC0G8.99999.S L.554 (GIIN)					
--	--	--	--	--	--	--------------------------------	--	--	--	--	--

The King Family Trust

Profile ID: 9579406

Profile Data

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

Business

Match

Name

king enterprises

The King Family Trust (Business)

91

ID Numbers

9579406 (Proprietary UID)

XFC0G8.99999.SL.554 (GIIN)

New Zealand (Country)

FATCA (Issuer)

GIIN (Label)

Address

New Zealand (Headquarters)

Screening List

Screening List Name

WorldCompliance® Data Plus

File Build

27/03/2026

Entity Created

03/12/2018

Entity Last Updated

03/12/2018

Position

Entity listed on the IRS FATCA list

Entity Status

Not Applicable

Segments

Sub Categories

Source

Registrations

FATCA Reg Inst

SPC-US IRS FATCA FFI

Record Sources (1)

<https://apps.irs.gov/app/fatcaFfiList/flu.jsf>

							4101 Innovator Dr. #1127 Sacramento California 95834 United States					
▼	34		91	The King of Homes		8658260 (Proprietary UID) 29009195682 (LexID)	2081 Arena Blvd. Ste 100 Sacramento California 95834 United States ...	United States	Business		Enforcements (1)	

The King of Homes

Profile ID: 8658260

Profile Data

Input Data

Profile Data

Search Core Analysis

Entity Type

Business

Business

Match

Name

king enterprises

The King of Homes (Business)

8658260 (Proprietary UID)

29009195682 (LexID)

United States (Country)

LexisNexis (Issuer)

LexID (Label)

Address

4101 Innovator Dr. #1127 Sacramento California 95834 United States (Associated)

2081 Arena Blvd. Ste 100 Sacramento California 95834 United States (Associated)

United States (Associated)

Screening List

Screening List Name WorldCompliance® Data Plus

File Build 27/03/2026

Entity Created 01/01/2018

Entity Last Updated 08/02/2022

Position Desist and Refrain order issued for engaging in unlicensed activity - December 21, 2012.

Entity Status Not Applicable

Segments

Country

Subcategory

Source

Enforcements

United States

Disciplined

Unauthorized

US-California Department of Real Estate

Comments

According to U.S. California Department of Real Estate; December 21, 2012: Desist and Refrain order issued against The King of Homes for conducting real estate activity without being properly licensed.

According to U.S. California Department of Real Estate; December 12, 2012: Desist and Refrain order issued against The King of Homes from performing any acts within the State of California for which a real estate broker license is required, and in particular, to immediately Desist and Refrain from providing or participating in property sales and management services for others for compensation unless and until The King of Homes obtains an appropriate license issued by the Department. Defendant engaging in, or is attempting to engage in, acts or practices constituting violations of the California Business and Professions Code including acting in the capacity of, advertising, or assuming to act as a real estate broker in the State of California.

Between about December 22, 2009 and August 22, 2011, The King of Homes negotiated to do one or more of the following acts for another or others, for or in expectation of compensation: sell or offer to sell, purchase or offer to purchase, solicit prospective sellers and purchasers, solicit or obtain listings of, or negotiate the purchase, sale or exchange of real property or a business opportunity; lease or rent or offer to lease or rent; place for rent; solicit listings of places for rent; solicit for prospective tenants; negotiate the sale, purchase or exchange of leases on real property, or on a business opportunity; collect rents from real property, or improvements thereon, or from business opportunities.

Record Sources (4)

<https://secure.dre.ca.gov/publicasp/unlicenseddnr.asp>

<https://secure.dre.ca.gov/publicasp/unlicensedDNR.asp?RowID=1903>

https://www2.dre.ca.gov/hearingfiles/H05931SAC_121212_P.pdf

<https://secure.dre.ca.gov/publicasp/unlicenseddnr.asp?FileID=H-5931SAC>

<https://apps.irs.gov/app/fatcaFfiList/flu.jsf>

▼	36	? ✕ ✓	90	 King Investment Partners Company Limited	7434083 (Proprietary UID) 9010001135208 (Business Registration Number)	1-34-23 Ebisunishi Daikanyamatoki bldg., No. 301 Shibuya Tokyo Metropolis 150-0021 Japan Japan	Japan	 Business	Enforcements (3)	
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7434083 (Proprietary UID)
9010001135208 (Business Registration Number)
Japan (Country)
10/5/2015 (Issue Date)
National Tax Agency (Issuer)
Corporate Number (Label)

Address

1-34-23 Ebisunishi Daikanyamatoki bldg., No. 301 Shibuya Tokyo Metropolis 150-0021 Japan (Business)
Japan (Associated)

Screening List ▾

Screening List Name	WorldCompliance® Data Plus
File Build	27/03/2026
Entity Created	20/06/2016
Entity Last Updated	24/06/2022
Position	Disqualified by the Financial Services Agency - October 21, 2016.
Entity Status	Not Applicable

Segments ▾

Country	
Subcategory	
Source	
Enforcements	
Japan	
Disqualified	
JP-Japanese Financial Services Agency	
Japan	
Disqualified	
JP-Kanto Local Finance Bureau	
Japan	
Administrative	
JP-Japanese Financial Services Agency	

Comments ▾

According to the Japanese Financial Services Agency, JP-Kanto Local Finance Bureau; October 21, 2016: The Financial Services Agency (FSA) and the Kanto Local Finance Bureau listed King Investment Partners Company Limited as notified business operator of specially permitted businesses for qualified institutional investors, etc., who was issued order for the abolition of business operations on territory of Japan. The date of issue of the order for the abolition of business operations is October 21, 2016. The Financial Services Agency could not make contact with the company and was not able to locate the company's office. Also, on August 06, 2013 the Financial Services Agency issued a notification against King Investment Partners Company Limited because the company violated its obligation to submit a report to the authorities.

According to the Japanese Financial Services Agency; June 16, 2016: King Investment Partners Company Limited was listed by Financial Services Agency (FSA) as notified business operator of specially permitted businesses for qualified institutional investors on June 16, 2016. The Financial Services Agency published a notification against the company, in which they claimed that they could not make contact with the company and were not able to locate the company's office. King Investment Partners Company Limited had to make contact immediately with Kanto Local Finance Bureau within 30 days since June 16, 2016, otherwise the competent authority might perform the administrative procedures and order the notified operator to abolish the specially permitted businesses for qualified institutional investors. Also in accordance with the document, on August 06, 2013 the authority published a notification based on the Article 63 of Financial Instruments and Exchange Act against the company.

Record Sources (9) ▾

<https://web.archive.org/web/20161205040142/http://www.fsa.go.jp/menkyo/menkyoj/tokurei/03.pdf>
<https://www.houjin-bangou.nta.go.jp/henkorireki-johoto.html?selHouzInNo=9010001135208>
<http://web.archive.org/web/20160823090053/http://www.fsa.go.jp/menkyo/menkyoj/tokurei/00.pdf>
<https://www.fsa.go.jp/menkyo/menkyoj/tokurei/021.pdf>
<https://www.houjin.info/detail/9010001135208/>

<https://web.archive.org/web/20220110072416/http://kantou.mof.go.jp/kinyuu/pagekthp032000340.html>
<http://web.archive.org/web/20170714070220/http://www.fsa.go.jp/menkyo/menkyoj/tokurei/02.pdf>
<https://web.archive.org/web/20210420204235/http://kantou.mof.go.jp/kinyuu/pagekthp032000341.html>
<https://www.fsa.go.jp/menkyo/menkyoj/tokurei.html>

Record Details

Record History (Events: 3, Alert Notes: 0, Match Notes: 0)